

## A REMARKABLE CLASS OF NONSYMMETRIC DIPOLARIZATIONS IN LIE ALGEBRAS

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**Abstract.** A dipolarization in a Lie algebra  $\mathfrak{g}$  is a pair of polarizations  $(\mathfrak{g}^+, f)$  and  $(\mathfrak{g}^-, f)$  satisfying the conditions: the two subalgebras  $\mathfrak{g}^\pm$  span  $\mathfrak{g}$ , and the intersection  $\mathfrak{g}^+ \cap \mathfrak{g}^-$  is the isotropy subalgebra at the linear form  $f$  with respect to the coadjoint representation of  $\mathfrak{g}$ . We construct here a class of dipolarizations in certain solvable Lie algebras for which the two subalgebras of dipolarization are not isomorphic.

### Introduction

Let  $\mathfrak{g}$  be a real Lie algebra. In [1] we define a *dipolarization* of  $\mathfrak{g}$  as a triple  $\{\mathfrak{g}^+, \mathfrak{g}^-, f\}$ , where  $\mathfrak{g}^\pm$  are subalgebras of  $\mathfrak{g}$ ,  $f$  is a linear function on  $\mathfrak{g}$ , and the following conditions are satisfied:

- (D1)  $\mathfrak{g} = \mathfrak{g}^+ + \mathfrak{g}^-$ ,  
(D2)  $f([X, \mathfrak{g}]) = 0$  if and only if  $X \in \mathfrak{g}^+ \cap \mathfrak{g}^-$ ,  
(D3)  $f([\mathfrak{g}^+, \mathfrak{g}^+]) = f([\mathfrak{g}^-, \mathfrak{g}^-]) = 0$ .

A dipolarization is called *symmetric* if the two subalgebras  $\mathfrak{g}^+$  and  $\mathfrak{g}^-$  are isomorphic to each other. Otherwise it is called *nonsymmetric*.

The background of this definition is the geometry of homogeneous parakaehler manifolds. In [2] Kaneyuki obtained a remarkable class of symmetric dipolarizations in real semisimple Lie algebras by using gradations. In [3] the authors gave an example of nonsymmetric dipolarization in the Lie algebra of upper triangular matrices, which is the first known nonsymmetric dipolarization. In this note we shall construct a large class of nonsymmetric dipolarizations in subalgebras of some real forms of complex semisimple Lie algebras, which can be viewed as a generalization of the example of [3].

Let  $\tilde{\mathfrak{g}}^c$  be a complex semisimple Lie algebra,  $\tilde{\mathfrak{h}}^c$  be a Cartan subalgebra of  $\tilde{\mathfrak{g}}^c$ , and  $\Delta$  be the root system of  $\tilde{\mathfrak{g}}^c$  with respect to  $\tilde{\mathfrak{h}}^c$ . Select a basis of  $\tilde{\mathfrak{g}}^c \bmod \tilde{\mathfrak{h}}^c = \{X_\alpha \mid X_\alpha \in (\tilde{\mathfrak{g}}^c)_\alpha, \alpha \in \Delta\}$  such that:

$$[X_\alpha, X_\beta] = c_{\alpha\beta} X_{\alpha+\beta},$$

where  $c_{\alpha\beta}$  are real numbers (such a basis must exist, for example, a Chevalley basis will do). Let  $H_\alpha = [X_\alpha, X_{-\alpha}]$  ( $\alpha \in \Delta$ ), denote the space  $\sum_{\alpha \in \Delta} \mathbf{R}H_\alpha$  by  $\mathfrak{h}$ ; set

$$\tilde{\mathfrak{g}} = \mathfrak{h} + \sum_{\alpha \in \Delta} \mathbf{R}X_\alpha.$$

Then  $\tilde{\mathfrak{g}}$  is a real form of  $\tilde{\mathfrak{g}}^c$ . Select a positive root system  $\Delta^+$  of  $\Delta$ , and let  $\Pi$  be the set of simple roots of  $\Delta^+$ . We set

$$\mathfrak{g} = \mathfrak{h} + \sum_{\alpha \in \Delta^+} \mathbf{R}X_\alpha.$$

Then  $\mathfrak{g}$  is a real Lie algebra. We define the subalgebras of  $\mathfrak{g}$ :

$$\begin{aligned} \mathfrak{g}^+ &= \sum_{\alpha \in \Delta^+} \mathbf{R}X_\alpha, \\ \mathfrak{g}^- &= \mathfrak{h} + \sum_{\alpha \in \Delta^+ - \Pi} \mathbf{R}X_\alpha. \end{aligned}$$

Let  $\langle, \rangle$  be an inner product in  $\mathfrak{g}$  such that  $\{H_{\alpha_i}, X_{\alpha_i} \mid \alpha_i \in \Pi, \alpha_i \in \Delta^+\}$  is an orthonormal basis of  $\mathfrak{g}$ . We define a linear form  $f$  on  $\mathfrak{g}$  by

$$f(X) = \sum_{\alpha \in \Pi} \langle X, H_\alpha \rangle + \sum_{\alpha \in \Pi} \langle X, X_\alpha \rangle.$$

**Theorem.** *Let  $\mathfrak{g}$ ,  $\mathfrak{g}^+$ ,  $\mathfrak{g}^-$  and  $f$  be defined as above, then  $\{\mathfrak{g}^+, \mathfrak{g}^-, f\}$  is a dipolarization of  $\mathfrak{g}$ . Furthermore if  $\tilde{\mathfrak{g}}^c$  contains a simple ideal which is not isomorphic to  $\mathfrak{sl}(2, \mathbf{C})$ , then the dipolarization is nonsymmetric.*

**Proof.** We first prove that  $\{\mathfrak{g}^+, \mathfrak{g}^-, f\}$  is a dipolarization of  $\mathfrak{g}$ . It is obvious that  $\mathfrak{g} = \mathfrak{g}^+ + \mathfrak{g}^-$ , so (D1) is satisfied. If  $Y \in \mathfrak{g}^+ \cap \mathfrak{g}^- = \sum_{\alpha \in \Delta^+ - \Pi} \mathbf{R}X_\alpha$ , then  $[Y, \mathfrak{g}] \subset \sum_{\alpha \in \Delta^+ - \Pi} \mathbf{R}X_\alpha$ . By the definition of  $f$  we see that  $f([Y, \mathfrak{g}]) = 0$ . Conversely, suppose that  $Y \in \mathfrak{g}$  and that  $f([Y, \mathfrak{g}]) = 0$ . We shall prove  $Y \in \mathfrak{g}^+ \cap \mathfrak{g}^-$ . We write  $Y = H + \sum_{\alpha \in \Delta^+} y_\alpha X_\alpha$ , where  $H \in \mathfrak{h}$  and  $y_\alpha \in \mathbf{R}$ . First we prove  $H = 0$ . If  $H \neq 0$ , then one can select a  $\beta \in \Pi$  such that  $\beta(H) \neq 0$ . Then

$$[Y, X_\beta] = \beta(H)X_\beta + Y_1,$$

where  $Y_1 \in \sum_{\alpha \in \Delta^+ - \Pi} \mathbf{R}X_\alpha$ . Hence

$$f([Y, X_\beta]) = f(\beta(H)X_\beta + Y_1) = \beta(H)\langle X_\beta, X_\beta \rangle \neq 0.$$

This is a contradiction. So  $H = 0$ . Now we will prove  $y_\alpha = 0, \forall \alpha \in \Pi$ . Otherwise there exists a  $\gamma \in \Pi$  such that  $y_\gamma \neq 0$ . Select an  $H_0 \in \mathfrak{h}$  such that  $\gamma(H_0) \neq 0$  and  $\alpha(H_0) = 0, \forall \alpha \in \Pi - \{\gamma\}$ , then

$$[Y, H_0] = -\gamma(H_0)y_\gamma X_\gamma + Y_2,$$

where  $Y_2 \in \sum_{\alpha \in \Delta - \Pi} \mathbf{R}X_\alpha$ ; thus,

$$f([Y, H_0]) = -f(\gamma(H_0)y_\gamma X_\gamma) = -\gamma(H_0)y_\gamma \langle X_\gamma, X_\gamma \rangle \neq 0.$$

This also contradicts  $f([Y, \mathfrak{g}]) = 0$ . Thus  $y_\alpha = 0, \forall \alpha \in \Pi$ , that is,  $Y \in \mathfrak{g}^+ \cap \mathfrak{g}^-$  so (D2) is also satisfied. Next we prove (D3). Since  $[\mathfrak{g}^+, \mathfrak{g}^+] \subset \sum_{\alpha \in \Delta^+ - \Pi} \mathbf{R}X_\alpha$ ,  $[\mathfrak{g}^-, \mathfrak{g}^-] \subset \sum_{\alpha \in \Delta^+ - \Pi} \mathbf{R}X_\alpha$  by the definition, we have  $f([\mathfrak{g}^+, \mathfrak{g}^+]) = f([\mathfrak{g}^-, \mathfrak{g}^-]) = 0$ , thus (D3) is satisfied and  $\{\mathfrak{g}^+, \mathfrak{g}^-, f\}$  is a dipolarization of  $\mathfrak{g}$ .

Now suppose that  $\tilde{\mathfrak{g}}^c$  contains a simple ideal that is not isomorphic to  $\mathfrak{sl}(2, \mathbf{C})$ , Then  $\Delta^+ - \Pi$  is not empty. Thus

$$[\mathfrak{g}^-, \mathfrak{g}^-] = \sum_{\alpha \in \Delta^+ - \Pi} \mathbf{R}X_\alpha.$$

Using this iteratively we see that  $\mathfrak{g}^-$  is not a nilpotent Lie algebra. But it is obvious that  $\mathfrak{g}^+$  is nilpotent, thus  $\mathfrak{g}^+$  is not isomorphic to  $\mathfrak{g}^-$  and the dipolarization is nonsymmetric. Q.E.D.

Next we shall give the matrix realizations of  $\{\mathfrak{g}^+, \mathfrak{g}^-, f\}$  in the case  $\tilde{\mathfrak{g}}^c = A_l (l \geq 2)$ ,  $B_l (l \geq 2)$ ,  $C_l (l \geq 3)$  or  $D_l (l \geq 4)$ .

In the following, if  $A$  denotes a matrix, we always use  $a_{ij}$  to denote the  $(i, j)$  element of  $A$ .

**Case 1.**  $\tilde{\mathfrak{g}}^c = A_l = \mathfrak{sl}(l+1, \mathbf{C}) (l \geq 2)$

In this case we select

$$\tilde{\mathfrak{h}}^c = \left\{ \text{diag}(h_1, h_2, \dots, h_{l+1}) \mid \sum_{i=1}^{l+1} h_i = 0 \right\}.$$

Then

$$\Delta = \{ \pm(\lambda_i - \lambda_j) \mid i < j \},$$

where

$$\lambda_i(\text{diag}(h_1, h_2, \dots, h_{l+1})) = h_i.$$

Select

$$\Delta^+ = \{ \lambda_i - \lambda_j \mid i < j \};$$

then

$$\Pi = \{\lambda_i - \lambda_{i+1} \mid 1 \leq i \leq l\}.$$

By direct computation we obtain:

$$\mathfrak{g} = \{X \in \mathbf{R}^{(l+1) \times (l+1)} \mid x_{ij} = 0 \text{ for } i > j \text{ and } \text{Tr}X = 0\},$$

$$\mathfrak{g}^+ = \{X \in \mathfrak{g} \mid x_{ii} = 0, i = 1, 2, \dots, l+1\},$$

$$\mathfrak{g}^- = \{X \in \mathfrak{g} \mid x_{i,i+1} = 0, i = 1, 2, \dots, l\},$$

$$f(X) = \sum_{i=1}^l x_{i,i+1} + 2(l+1) \sum_{i=1}^l (l+1-i)x_{ii} \text{ for } X \in \mathfrak{g}.$$

**Remark.** Let  $\mathfrak{g}' = \mathfrak{g} \oplus \mathbf{R}I_{l+1}$ , where  $I_{l+1}$  is the identity matrix, and let

$$(\mathfrak{g}')^+ = \mathfrak{g}^+ \oplus \mathbf{R}I_{l+1}, \quad (\mathfrak{g}')^- = \mathfrak{g}^- \oplus \mathbf{R}I_{l+1},$$

$$f'(X + rI_{l+1}) = r + f(X) - 2(l+1) \sum_{i=1}^l (l+1-i)x_{ii}.$$

Then  $\{(\mathfrak{g}')^+, (\mathfrak{g}')^-, f'\}$  is the dipolarization of [3].

**Case 2.**  $\tilde{\mathfrak{g}}^c = B_l$  ( $l \geq 2$ )

In this case we use the isomorphism:

$$\tilde{\mathfrak{g}}^c \cong \{X \in \mathbf{C}^{(2l+1) \times (2l+1)} \mid SX + X'S = 0\},$$

where  $S = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & I_l \\ 0 & I_l & 0 \end{pmatrix}$ . Select

$$\tilde{\mathfrak{h}}^c = \{\text{diag}(0, x_1, x_2, \dots, x_l, -x_1, -x_2, \dots, -x_l) \mid x_i \in \mathbf{C}\};$$

then the root system is

$$\Delta = \{\pm \lambda_k, \pm(\lambda_i - \lambda_j), \pm(\lambda_i + \lambda_j) \mid 1 \leq k \leq l, 1 \leq i < j \leq l\},$$

where

$$\lambda_i(\text{diag}(0, x_1, x_2, \dots, x_l, -x_1, -x_2, \dots, -x_l)) = x_i.$$

We can select

$$\Delta^+ = \{\lambda_k, \lambda_i - \lambda_j, \lambda_i + \lambda_j \mid 1 \leq k \leq l, 1 \leq i < j \leq l\};$$

then

$$\Pi = \{\lambda_1 - \lambda_2, \lambda_2 - \lambda_3, \dots, \lambda_{l-1} - \lambda_l, \lambda_l\}.$$

By direct computation we obtain:

$$\mathfrak{g} = \left\{ \begin{pmatrix} 0 & 0 & c \\ -c & A & B \\ 0 & 0 & -A' \end{pmatrix} \mid A, B \in \mathbf{R}^{l \times l}, a_{ij} = 0 \text{ for } i > j, B' = -B, c = (c_i) \in \mathbf{R}^l \right\},$$

$$\mathfrak{g}^+ = \left\{ \begin{pmatrix} 0 & 0 & c \\ -c' & A & B \\ 0 & 0 & -A' \end{pmatrix} \in \mathfrak{g} \mid a_{ii} = 0, i = 1, 2, \dots, l \right\},$$

$$\mathfrak{g}^- = \left\{ \begin{pmatrix} 0 & 0 & c \\ -c' & A & B \\ 0 & 0 & -A' \end{pmatrix} \in \mathfrak{g} \mid c_l = 0, a_{i,i+1} = 0, i = 1, 2, \dots, l-1 \right\},$$

$$f(X) = \sum_{i=1}^{l-1} a_{i,i+1} + c_l + 2(2l-1) \sum_{i=1}^l (l+1-i)a_{ii},$$

where  $X = \begin{pmatrix} 0 & 0 & c \\ -c' & A & B \\ 0 & 0 & -A' \end{pmatrix} \in \mathfrak{g}.$

**Case 3.**  $\tilde{\mathfrak{g}}^c = C_l$  ( $l \geq 3$ )

In this case we use the isomorphism:

$$\tilde{\mathfrak{g}}^c \cong \{X \in \mathbf{C}^{2l \times 2l} \mid SX + X'S = 0\},$$

where  $S = \begin{pmatrix} 0 & I_l \\ -I_l & 0 \end{pmatrix}.$  Select

$$\tilde{\mathfrak{h}}^c = \{\text{diag}(x_1, x_2, \dots, x_l, -x_1, -x_2, \dots, -x_l) \mid x_i \in \mathbf{C}\};$$

then the root system is

$$\Delta = \{\pm(\lambda_i - \lambda_j), \pm(\lambda_i + \lambda_j), \pm 2\lambda_k \mid 1 \leq i < j \leq l, 1 \leq k \leq l\},$$

where

$$\lambda_i(\text{diag}(x_1, x_2, \dots, x_l, -x_1, -x_2, \dots, -x_l)) = x_i.$$

Select

$$\Delta^+ = \{\lambda_i - \lambda_j, \lambda_i + \lambda_j, 2\lambda_k \mid 1 \leq i < j \leq l, 1 \leq k \leq l\};$$

then

$$\Pi = \{\lambda_1 - \lambda_2, \lambda_2 - \lambda_3, \dots, \lambda_{l-1} - \lambda_l, 2\lambda_l\}.$$

By direct computation we obtain:

$$\begin{aligned} \mathfrak{g} &= \left\{ \begin{pmatrix} A & B \\ 0 & -A' \end{pmatrix} \mid A, B \in \mathbf{R}^{l \times l}, a_{ij} = 0 \text{ for } i > j, B' = B \right\}, \\ \mathfrak{g}^+ &= \left\{ \begin{pmatrix} A & B \\ 0 & -A' \end{pmatrix} \in \mathfrak{g} \mid a_{ii} = 0, i = 1, 2, \dots, l \right\}, \\ \mathfrak{g}^- &= \left\{ \begin{pmatrix} A & B \\ 0 & -A' \end{pmatrix} \in \mathfrak{g} \mid b_{ll} = 0, a_{i,i+1} = 0, i = 1, 2, \dots, l-1 \right\}, \end{aligned}$$

$$f(X) = \sum_{i=1}^{l-1} a_{i,i+1} + 2b_{ll} + 4(l+1) \sum_{i=1}^l (l-i + \frac{1}{2}) a_{ii},$$

where  $X = \begin{pmatrix} A & B \\ 0 & -A' \end{pmatrix} \in \mathfrak{g}$ .

**Case 4.**  $\tilde{\mathfrak{g}}^c = D_l$  ( $l \geq 4$ )

In this case we use the isomorphism:

$$\tilde{\mathfrak{g}}^c \cong \{X \in \mathbf{C}^{2l \times 2l} \mid X'S + SX = 0\},$$

where  $S = \begin{pmatrix} 0 & I_l \\ I_l & 0 \end{pmatrix}$ . Select

$$\tilde{\mathfrak{h}}^c = \{\text{diag}(x_1, x_2, \dots, x_l, -x_1, -x_2, \dots, -x_l) \mid x_i \in \mathbf{C}\};$$

then

$$\Delta = \{\pm(\lambda_i - \lambda_j), \pm(\lambda_i + \lambda_j) \mid 1 \leq i < j \leq l\};$$

where

$$\lambda_i(\text{diag}(x_1, x_2, \dots, x_l, -x_1, -x_2, \dots, -x_l)) = x_i.$$

Select

$$\Delta^+ = \{\lambda_i - \lambda_j, \lambda_i + \lambda_j \mid 1 \leq i < j \leq l\};$$

then

$$\Pi = \{\lambda_1 - \lambda_2, \lambda_2 - \lambda_3, \dots, \lambda_{l-1} - \lambda_l, \lambda_{l-1} + \lambda_l\}.$$

By direct computation we obtain:

$$\mathfrak{g} = \left\{ \begin{pmatrix} A & B \\ 0 & -A' \end{pmatrix} \mid A, B \in \mathbf{R}^{l \times l}, a_{ij} = 0 \text{ for } i > j, B' = -B \right\},$$

$$\mathfrak{g}^+ = \left\{ \begin{pmatrix} A & B \\ 0 & -A' \end{pmatrix} \in \mathfrak{g} \mid a_{ii} = 0, i = 1, 2, \dots, l \right\},$$

$$\mathfrak{g}^- = \left\{ \begin{pmatrix} A & B \\ 0 & -A' \end{pmatrix} \in \mathfrak{g} \mid b_{l-1,l} = 0, a_{i,i+1} = 0, i = 1, 2, \dots, l-1 \right\},$$

$$f(X) = \sum_{i=1}^{l-1} a_{i,i+1} + b_{l-1,l} + 4(l-1) \sum_{i=1}^l (l-i) a_{ii},$$

where  $X = \begin{pmatrix} A & B \\ 0 & -A' \end{pmatrix} \in \mathfrak{g}$ .

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