

## SOME CONDITIONS FOR UNIVALENCE DEFINED BY RUSCHEWEYH'S DERIVATIVE

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**Abstract.** For a function  $f(z)=z+a_2z^2+\dots$  analytic in the unit disc we give certain criteria for univalence in terms of  $(D^n f(z))/z$ , where  $D^n f$  denotes the Ruscheweyh derivative.

### 1. Introduction

Let  $A$  denote the class of functions analytic in the unit disc  $U=\{z: |z|<1\}$  with  $f(0)=f'(0)-1=0$ .

In his paper [2] Ruscheweyh proved that if  $f \in A$  and

$$\operatorname{Re} \left\{ \frac{D^{n+1} f(z)}{D^n f(z)} \right\} > \frac{1}{2}, \quad z \in U, \quad n \in N_0 = N \cup \{0\} = \{0, 1, 2, \dots\}$$

where  $D^n f = (z/(1-z)^{n+1}) * f$  means the Hadamard product or convolution of the functions  $z/(1-z)^{n+1}$  and  $f$ , then  $f \in S^*(1/2)$ , i.e.  $f$  is starlike function of order  $1/2$ .

We note that for  $D^n f$  we have the identity

$$(1) \quad z(D^n f)' = (n+1)D^{n+1} f - nD^n f, \quad n \in N_0.$$

In this paper we give some conditions for univalence in  $U$  by using  $(D^n f)/z$ . For the proofs of our results we need the following well-known lemma.

**Lemma 1** (Jack [1]). *Let  $\omega$  be nonconstant and analytic in  $U$  with  $\omega(0)=0$ . If  $|\omega|$  attains its maximum value at a point  $z_0$  on the circle  $|z|=r<1$ , we have  $z_0 \omega'(z_0) = k \omega(z_0)$ ,  $k \geq 1$ .*

### 2. Some conditions for univalence

**Theorem 1.** *Let  $f \in A$  and*

$$(2) \quad \operatorname{Re}\left\{\frac{D^n f(z)}{z}\right\} > \alpha_n, \quad z \in U, n \in N,$$

where  $\alpha_n$  is defined by

$$(3) \quad \alpha_n = \frac{1}{2n} [(2n+1)\alpha_{n-1} - 1], \quad n \geq 2, \text{ and } \alpha_1 = 0,$$

then  $f$  is univalent in  $U$  and  $\operatorname{Re}\{f'(z)\} > 0$  ( $z \in U$ ).

**Proof.** Let us show that the following implication

$$(4) \quad \operatorname{Re}\left\{\frac{D^n f(z)}{z}\right\} > \alpha_n \Rightarrow \operatorname{Re}\left\{\frac{D^{n-1} f(z)}{z}\right\} > \alpha_{n-1} \quad (n \geq 2),$$

is true, where  $\alpha_n$  is defined by (3). In that sense, let (2) be satisfied and let's put

$$(5) \quad \frac{D^{n-1} f(z)}{z} = \frac{1 - (2\alpha_{n-1} - 1)\omega(z)}{1 - \omega(z)}.$$

Then  $\omega$  is analytic in  $U$  and  $\omega(0) = 0$ . From (5) by multiplying with  $z$ , differentiation, by using the identity (1) and some simple transformations we get

$$(6) \quad \frac{D^n f(z)}{z} = \frac{1 - (2\alpha_{n-1} - 1)\omega(z)}{1 - \omega(z)} + \frac{2(1 - \alpha_{n-1})}{n} \cdot \frac{z\omega'(z)}{(1 - \omega(z))^2}.$$

We want to show that  $|\omega(z)| < 1$ ,  $z \in U$ . If not  $|\omega(z)| < 1$ , then there exists a point  $z_0$ ,  $|z_0| < 1$ , such that  $|\omega(z_0)| = 1$  and  $z_0\omega'(z_0) = k\omega(z_0)$ ,  $k \geq 1$  (where we use Lemma 1). If we put  $\omega(z_0) = e^{i\theta}$ , then  $z_0\omega'(z_0) = ke^{i\theta}$  and from (6) for such  $z_0$  we obtain

$$\begin{aligned} \operatorname{Re}\left\{\frac{D^n f(z_0)}{z_0}\right\} &= \operatorname{Re}\left\{\frac{1 - (2\alpha_{n-1} - 1)e^{i\theta}}{1 - e^{i\theta}} + \frac{2(1 - \alpha_{n-1})}{n} \frac{ke^{i\theta}}{(1 - e^{i\theta})^2}\right\} \\ &= \alpha_{n-1} + \frac{2(1 - \alpha_{n-1})k}{n} \left(-\frac{1}{4 \sin^2(\theta/2)}\right) \\ &\leq \alpha_{n-1} - \frac{1 - \alpha_{n-1}}{2n} = \alpha_n, \end{aligned}$$

which is the contradiction to (2). From the implication (4) we conclude that  $\operatorname{Re}\{D^n f(z)/z\} > \alpha_n$  implies  $\operatorname{Re}\{D^1 f(z)/z\} = \operatorname{Re}\{f'(z)\} > 0$ ,  $z \in U$ , i.e.  $f$  is univalent (close-to-convex) in  $U$ .

For example, for  $n=2$  from Theorem 1 we have

**Corolary 1.** *If  $f \in A$  and*

$$\operatorname{Re}\left\{f'(z) + \frac{1}{2}zf''(z)\right\} > -\frac{1}{4}, \quad z \in U,$$

then  $f$  is univalent in  $U$  with  $\operatorname{Re}\{f'(z)\} > 0, z \in U$ .

**Theorem 2.** Let  $f \in A$  and let

$$(7) \quad \left| \frac{D^n f(z)}{z} - 1 \right| < \frac{n+1}{2}, \quad z \in U, \quad n \in N,$$

then  $f$  is univalent in  $U$  and  $|f'(z) - 1| < 1, z \in U$ .

**Proof.** Since (7) is equivalent to

$$(8) \quad \frac{D^n f(z)}{z} < 1 + \frac{n+1}{2}z,$$

let show that (8) implies that  $D^{n-1}f(z)/z < 1 + (n/2)z$ . If we put

$$(9) \quad \frac{D^{n-1}f(z)}{z} = 1 + \frac{n}{2}\omega(z),$$

then  $\omega(0) = 0$  and  $\omega(z)$  is analytic in  $U$ . By using the same method as in Theorem 1, from (9) we obtain

$$(10) \quad \frac{D^n f(z)}{z} = 1 + \frac{n}{2}\omega(z) + \frac{1}{2}z\omega'(z).$$

We want to show that  $|\omega(z)| < 1, z \in U$ . If not  $|\omega(z)| < 1$ , then there exists (by Lemma 1) a point  $z_0, |z_0| < 1$ , such that  $|\omega(z_0)| = 1$  and  $z_0\omega'(z_0) = k\omega(z_0), k \geq 1$ . If we put  $\omega(z_0) = e^{i\theta}$ , then  $z_0\omega'(z_0) = ke^{i\theta}$  and from (10) for such  $z_0$  we have

$$\left| \frac{D^n f(z_0)}{z_0} - 1 \right| = \left| \frac{n+k}{2}e^{i\theta} \right| = \frac{n+k}{2} \geq \frac{n+1}{2},$$

which is the contradiction to (7). In that sense we conclude that (7) implies

$$\left| \frac{D^1 f(z)}{z} - 1 \right| < 1, \quad z \in U,$$

which is the same as  $|f'(z) - 1| < 1, z \in U$ , and the proof is complete.

For  $n=2$  from Theorem 2 we get

**Corollary 2.** If  $f \in A$  and if

$$\left| f'(z) + \frac{1}{2}zf''(z) - 1 \right| < \frac{3}{2}, \quad z \in U,$$

then  $f$  is univalent and  $|f'(z) - 1| < 1, z \in U$ .

**Remark 1.** By induction we easily conclude that the disc  $|w-1| < (n+1)/2$  doesn't belong to the half-plane defined by  $\operatorname{Re}\{w\} > \alpha_n$ , where  $\alpha_n$  is defined by (3). It means that the result of Theorem 2 is not a consequence of Theorem 1.

**Theorem 3.** Let  $f \in A$  and

$$(11) \quad \left| \arg \frac{D^n f(z)}{z} \right| < \frac{\pi}{2} \alpha_n, \quad n \in \mathbb{N}, \quad z \in U,$$

where

$$(12) \quad \begin{cases} \alpha_n \leq \alpha_{n-1} + \frac{2}{\pi} \arctan \frac{\alpha_{n-1}}{n}, & n \geq 2, \quad \alpha_1 = 1 \\ \alpha_n + \alpha_{n-1} \leq 3 \end{cases}$$

Then  $f$  is univalent in  $U$  and  $|\arg f'(z)| < \pi/2$ ,  $z \in U$ .

**Proof.** First, let show that the following implication

$$(13) \quad \left| \arg \frac{D^{n+1} f(z)}{z} \right| < \alpha_{n+1} \Rightarrow \left| \arg \frac{D^n f(z)}{z} \right| < \alpha_n$$

is true, where  $\alpha_n$  is defined by (12). Suppose that

$$(14) \quad \left| \arg \frac{D^{n+1} f(z)}{z} \right| < \alpha_{n+1}$$

and put

$$(15) \quad \frac{D^n f(z)}{z} = \left( \frac{1+\omega(z)}{1-\omega(z)} \right)^{\alpha_n}.$$

Then  $\omega(z)$  is analytic in  $U$  and  $\omega(0)=0$  (we take the principal values). From (15), as in the proofs of previous theorems, we can get

$$(16) \quad \frac{D^{n+1} f(z)}{z} = \left( \frac{1+\omega(z)}{1-\omega(z)} \right)^{\alpha_n} \left[ 1 + \frac{\alpha_n}{n+1} \frac{2z\omega'(z)}{1-\omega^2(z)} \right].$$

We want to show that  $|\omega(z)| < 1$ ,  $z \in U$ . If not then by Jack's lemma there exists a  $z_0$ ,  $|z_0| < 1$ , such that  $|\omega(z_0)| = 1$ , i.e.  $\omega(z_0) = e^{i\theta}$ , and  $z_0\omega'(z_0) = k\omega(z_0) = ke^{i\theta}$ ,  $k \geq 1$ . From (16) for such  $z_0$  we have

$$(17) \quad \begin{aligned} \frac{D^{n+1} f(z_0)}{z_0} &= \left( \frac{1+e^{i\theta}}{1-e^{i\theta}} \right)^{\alpha_n} \left[ 1 + \frac{\alpha_n}{n+1} \frac{2ke^{i\theta}}{1-e^{2i\theta}} \right] \\ &= \left( i \operatorname{ctg} \frac{\theta}{2} \right)^{\alpha_n} \left[ 1 + \frac{\alpha_n k}{n+1} \frac{1+\operatorname{ctg}^2(\theta/2)}{2 \operatorname{ctg}(\theta/2)} i \right] \\ &= (it)^{\alpha_n} \left[ 1 + \frac{\alpha_n k}{2(n+1)} \left( t + \frac{1}{t} \right) i \right], \end{aligned}$$

where we put  $\operatorname{ctg}(\theta/2)=t$ . If take  $t>0$ , then from (17) we have

$$\arg \frac{D^{n+1}f(z_0)}{z_0} = \frac{\pi}{2} \alpha_n + \arctan \left[ \frac{\alpha_n k}{2(n+1)} \left( t + \frac{1}{t} \right) \right],$$

and from there

$$\frac{\pi}{2} \alpha_{n+1} \leq \frac{\pi}{2} \alpha_n + \arctan \frac{\alpha_n}{n+1} \leq \arg \frac{D^{n+1}f(z_0)}{z_0} \leq \frac{\pi}{2} \alpha_n + \frac{\pi}{2}.$$

If we consider the case  $t<0$ , then in the both cases we get

$$\frac{\pi}{2} \alpha_{n+1} \leq \left| \arg \frac{D^{n+1}f(z_0)}{z_0} \right| \leq \frac{\pi}{2} \alpha_n + \frac{\pi}{2} \leq 2\pi - \frac{\pi}{2} \alpha_{n+1}.$$

which implies that  $(D^{n+1}f(z_0))/z_0$  lies outside the angle  $|\alpha| < (\pi/2)\alpha_{n+1}$ . This is the contradiction to (14). Now by induction from (13) we conclude that (11) implies

$$\left| \arg \frac{D^1 f(z)}{z} \right| = |\arg f'(z)| < \frac{\pi}{2}, \quad z \in U,$$

i.e.  $f$  is univalent.

For  $n=2$ , from Theorem 3 we derive

**Corollary 3.** *If  $f \in A$  and if*

$$\left| \arg \left( f'(z) + \frac{1}{2} z f''(z) \right) \right| < \frac{\pi}{2} \alpha_2, \quad z \in U,$$

where  $\alpha_2 = 1 + (2/\pi) \arctan(1/2) = 1.2951 \geq$ , then  $f$  is univalent in  $U$  and  $|\arg f'(z)| < \pi/2, z \in U$ .

**Remark 2.** From the result of the previous corollary we conclude that it doesn't follow from Theorem 1 or Theorem 2.

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### References

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