

NON-REGULAR LOCAL RINGS OF FINITE BUCHSBAUM-REPRESENTATION TYPE

By

KOJI NISHIDA¹⁾

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1. Introduction.

Let R be a commutative Noetherian local ring of $\dim R=d$. Then we say that R has finite Buchsbaum-representation type, if there exist only finitely many isomorphism classes of indecomposable Buchsbaum R -modules M which are maximal, i. e. $\dim_R M=d$. D. Eisenbud and S. Goto [1, 2] showed that any regular local ring R has finite Buchsbaum-representation type. The converse is also true, if R is a Cohen-Macaulay complete local ring of $\dim R \geq 2$ and if R contains an algebraically closed coefficient field k of $\text{ch } k \neq 2$ (cf. [4, 5]. See [3, 7] for the case where $\dim R=1$.)²⁾

The Buchsbaum-representation theory was just started and according to a conjecture in [4, Introduction] it seems to be expected, first of all, to find higher-dimensional non-regular local rings of finite Buchsbaum-representation type.

In this paper we shall construct, for each integer $d \geq 1$, a (non-Cohen-Macaulay) local ring R of $\dim R=d$ that possesses exactly $d+1$ isomorphism classes of indecomposable maximal Buchsbaum R -modules. The representatives M_n will be arranged so that $\text{depth}_R M_n=n$ for each $0 \leq n \leq d$.

2. The construction of non-regular local rings of finite Buchsbaum-representation type.

In this section we shall prove the following theorem, which is already known in the case where $d=2$ ([4, Theorem (3.1)]):

Theorem 1. *Let P be a $(d+1)$ -dimensional ($d>0$) regular local ring with the maximal ideal \mathfrak{n} . Let $X \in \mathfrak{n} \setminus \mathfrak{n}^2$ and let I be a proper ideal of P with $\text{ht}_P I \geq 2$. We put $R=P/XI$, $\mathfrak{p}=XR$ and $\mathfrak{m}=\mathfrak{n}R$. Then R has finite Buchsbaum-representation*

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2) This is true for the Cohen-Macaulay complete local rings of dimension two whose residue class fields are algebraically closed, cf. [4].

type and

$$E_n = \text{Syz}_{R/\mathfrak{p}}^n(R/\mathfrak{m}) \quad (1 \leq n \leq d), \quad R/\mathfrak{m}\mathfrak{p}$$

are the representatives of indecomposable maximal Buchsbaum R -modules, where $\text{Syz}_{R/\mathfrak{p}}^n(R/\mathfrak{m})$ denotes the n -th syzygy module of the residue class field R/\mathfrak{m} of R/\mathfrak{p} .

Before the proof of Theorem 1, let us recall the fundamental theorem of Buchsbaum-representation theory which plays a key role in our argument (cf. [1] and [2]):

Let A be a regular local ring. Then the syzygy modules of the residue class field of A are the representatives of indecomposable maximal Buchsbaum A -modules and so A has finite Buchsbaum-representation type.

We divide the proof of Theorem 1 into several steps. First we show the following

Proposition 2. E_n ($1 \leq n \leq d$) and $R/\mathfrak{m}\mathfrak{p}$ are indecomposable maximal Buchsbaum R -modules which are not isomorphic to each other.

Proof. Since $R/\mathfrak{p} = P/XP$ is a d -dimensional regular local ring with residue class field R/\mathfrak{m} , so E_n ($1 \leq n \leq d$) are the representatives of indecomposable maximal Buchsbaum R/\mathfrak{p} -modules by the fundamental theorem. We regard E_n as R -modules via the canonical map $R \rightarrow R/\mathfrak{p}$. Then E_n are still indecomposable maximal Buchsbaum R -modules and $\text{depth}_R E_n = n$. Let us apply the local cohomology functor $H_{\mathfrak{m}}^i(\cdot)$ to the sequence

$$0 \longrightarrow \mathfrak{p}/\mathfrak{m}\mathfrak{p} \longrightarrow R/\mathfrak{m}\mathfrak{p} \longrightarrow R/\mathfrak{p} \longrightarrow 0.$$

Then we have

$$\begin{aligned} H_{\mathfrak{m}}^i(R/\mathfrak{m}\mathfrak{p}) &= \mathfrak{p}/\mathfrak{m}\mathfrak{p} \quad (i=0), \\ &= 0 \quad (i \neq 0, d). \end{aligned}$$

Hence $R/\mathfrak{m}\mathfrak{p}$ is also an indecomposable maximal Buchsbaum R -module of $\text{depth}_R(R/\mathfrak{m}\mathfrak{p})=0$ (cf. [8, Ch I, (2.12)]). Because the depths are different, the R -modules E_1, E_2, \dots, E_d and $R/\mathfrak{m}\mathfrak{p}$ are not isomorphic to each other.

Conversely let M be an indecomposable maximal Buchsbaum R -module. We put $\bar{M} = M/H_{\mathfrak{m}}^0(M)$.

Lemma 3. \bar{M} is a maximal Buchsbaum R/\mathfrak{p} -module which has a decomposition

$$\bar{M} \cong \bigoplus_{n=1}^d (E_n)^{\alpha_n}$$

with integers $\alpha_n \geq 0$, where $(E_n)^{\alpha_n}$ denotes the direct sum of α_n copies of E_n .

Proof. Since \bar{M} is again a maximal Buchsbaum R -module of $\text{depth}_R \bar{M} > 0$ (cf. [8, Ch. I, (2.22)]), we get $\text{Ass}_R \bar{M} = \text{Assh } R = \{p \in \text{Ass } R \mid \dim R/p = d\}$ by [8, Ch. I, (1.10)]. On the other hand $\text{Assh } R = \{p\}$, since XP is the unique ideal of P such that $XI \subset XP$ and $\dim P/XP = d$. Thus we find any element of $R \setminus p$ is a non-zero divisor on \bar{M} and there is an embedding $\bar{M} \subset (\bar{M})_p$. Since $pR_p = 0$, $p\bar{M} = 0$. Hence \bar{M} is a maximal Buchsbaum R/p -module. Therefore because R/p is a d -dimensional regular local ring, we get the required decomposition by the fundamental theorem.

We choose elements Y_1, Y_2, \dots, Y_d of P so that $\mathfrak{n} = (X, Y_1, \dots, Y_d)P$ and Y_1, Y_2, \dots, Y_d form a system of parameters for R . Let $x = X \bmod XI$, $y_i = Y_i \bmod XI$ for $1 \leq i \leq d$ and let $\bar{}$ denote the reduction mod p . Then $\bar{y}_1, \bar{y}_2, \dots, \bar{y}_d$ is a regular system parameters of R/p and so the Koszul complex $K(\bar{y}_1, \dots, \bar{y}_d; R/p)$ generated by the sequence $\bar{y}_1, \bar{y}_2, \dots, \bar{y}_d$ is a minimal free resolution of the R/p -module R/\mathfrak{m} . Hence E_n is the $(n-1)$ -th boundary module of $K(\bar{y}_1, \dots, \bar{y}_d; R/p)$. Let $K_n = K_n(y_1, \dots, y_d; R)$ and let $p_n: K_n \rightarrow E_n$ be the epimorphism induced from the canonical map $K_n \rightarrow K_n(\bar{y}_1, \dots, \bar{y}_d; R/p)$. We put $L_n = \text{Ker } p_n$. Then we easily see the following

Lemma 4. $L_n = B_n + xK_n$, where B_n is the n -th boundary module of $K(y_1, \dots, y_d; R)$.

Since $H_{\mathfrak{m}}^0(M) \subset \mathfrak{m}M$ (cf. [5, Claim in the proof of Theorem (5.3)]), we get by Lemma 3 a commutative diagram

$$\begin{array}{ccccccc}
 & & & & & & 0 \\
 & & & & & & \uparrow \\
 & & & & & & 0 \\
 0 & \longrightarrow & \bigoplus_{n=1}^d (L_n)^{\alpha_n} & \longrightarrow & \bigoplus_{n=1}^d (K_n)^{\alpha_n} & \longrightarrow & \bigoplus_{n=1}^d (E_n)^{\alpha_n} \longrightarrow 0 \\
 & & \uparrow & & \parallel & & \uparrow \\
 0 & \longrightarrow & N & \longrightarrow & \bigoplus_{n=1}^d (K_n)^{\alpha_n} & \longrightarrow & M \longrightarrow 0 \\
 & & \uparrow & & & & \uparrow \\
 & & 0 & & & & H_{\mathfrak{m}}^0(M) \\
 & & & & & & \uparrow \\
 & & & & & & 0
 \end{array}$$

with exact rows and columns. Let $F = \bigoplus_{n=1}^d (K_n)^{\alpha_n}$ and $W = \bigoplus_{n=1}^d (L_n)^{\alpha_n}$. Then $W/N \cong H_{\mathfrak{m}}^0(M)$ and hence $\mathfrak{m}W \subset N$ by [8, Ch. I, (2.4)]. Furthermore by [4, Claim 2 in the proof of Theorem (3.1)]* we have the next

* The proof of [4, Claim 2 in the proof of Theorem (3.1)] works in this case.

Lemma 5. $W \cap qF \subset N$ for any parameter ideal q of R .

Because y_1, y_2, \dots, y_d form, by the choice, a system of parameters for R , we see $\dim R/(y_1, \dots, \hat{y}_i, \dots, y_d)R = 1$ for any $1 \leq i \leq d$. Hence we may choose elements $\{a_i\}_{1 \leq i \leq d}$ of R so that $y_1, y_2, \dots, x + a_i y_i, \dots, y_d$ form a system of parameters for R (cf. [6], Theorem 124). Let $q_0 = (y_1, y_2, \dots, y_d)R$ and $q_i = (y_1, \dots, x + a_i y_i, \dots, y_d)R$ for $1 \leq i \leq d$.

Lemma 6. $L_n \subset \sum_{i=0}^d (L_n \cap q_i K_n)$ for $1 \leq n < d$.

Proof. Let T_1, T_2, \dots, T_d be a free basis of $K.(y_1, \dots, y_d; R)$. We put for each subset I of $\{1, 2, \dots, d\}$ with $\#I = m$

$$T_I = T_{i_1} \wedge T_{i_2} \wedge \dots \wedge T_{i_m},$$

where $I = \{i_1, i_2, \dots, i_m\}$ with $i_1 < i_2 < \dots < i_m$.

Let ∂ denote the boundary operator of $K.(y_1, \dots, y_d; R)$ and let $1 \leq n < d$. Then B_n is generated by

$$\{\partial T_J \mid J \subset \{1, 2, \dots, d\}, \#J = n+1\}$$

and if $J = \{j_1, j_2, \dots, j_{n+1}\}$.

$$\partial T_J = \sum_{r=1}^{n+1} (-1)^{r+1} y_{j_r} T_{J \setminus \{j_r\}}.$$

So $B_n \subset L_n \cap q_0 K_n$. Hence by Lemma 4 it is sufficient to prove that $xK_n \subset \sum_{i=0}^d (L_n \cap q_i K_n)$. Let $I = \{i_1, i_2, \dots, i_n\}$ be a subset of $\{1, 2, \dots, d\}$ with $i_1 < i_2 < \dots < i_n$. Since $n < d$, we can choose $j \in \{1, 2, \dots, d\} \setminus I$. Then

$$\begin{aligned} \partial(T_j \wedge T_I) &= (\partial T_j) \wedge T_I - T_j \wedge (\partial T_I) \\ &= y_j T_I - \sum_{r=1}^n (-1)^{r+1} y_{i_r} T_j \wedge T_{I \setminus \{i_r\}} \end{aligned}$$

and so we have

$$\begin{aligned} xT_I &= -a_j \partial(T_j \wedge T_I) + (x + a_j y_j) T_I - \sum_{r=1}^n (-1)^{r+1} a_j y_{i_r} T_j \wedge T_{I \setminus \{i_r\}} \\ &\in B_n + q_j K_n. \end{aligned}$$

We are now ready to prove that $M \cong E_n$ for some $1 \leq n \leq d$ or $M \cong R/\mathfrak{m}_p$. By Lemma 5 and Lemma 6 it follows that $\left[\left(\bigoplus_{n=1}^{d-1} (L_n)^{\alpha_n} \right) \oplus (0) \right] \subset N$ and so there is an R -submodule N' of $(L_d)^{\alpha_d} (= \mathfrak{p}^{\alpha_d})$ such that $N = \left[\bigoplus_{n=1}^{d-1} (L_n)^{\alpha_n} \right] \oplus N'$. Consequently

$$M \cong \left[\bigoplus_{n=1}^{d-1} (K_n/L_n)^{\alpha_n} \right] \oplus (R^{\alpha_d}/N').$$

Therefore if $\alpha_n \geq 1$ for some $1 \leq n \leq d-1$, we have $M \cong E_n$ since M is indecomposable. And if $\alpha_1 = \alpha_2 = \dots = \alpha_{d-1} = 0$, we have $M \cong R/\mathfrak{m}_p$ or $M \cong R/\mathfrak{p} = E_d$ by the same argument as the last part of the proof of [4, Theorem (3.1)]. This completes the proof of Theorem 1.

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Department of Mathematics
Faculty of Science
Chiba University
Yayoi-cho, Chiba-shi,
260 Japan