

SOME REMARKS ON LOCAL SOLVABILITY OF FUCHSIAN PARTIAL DIFFERENTIAL EQUATIONS FOR HYPERFUNCTIONS

By

TOSHINORI ÔAKU

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Abstract. Local solvability in the space of hyperfunctions is proved for Fuchsian elliptic or hyperbolic partial differential equations without any additional conditions.

Let P be a Fuchsian partial differential operator of weight $m-k$ in the sense of Baouendi-Goulaouic [1]. The local solvability of the equation $Pu=f$ for hyperfunctions (f given, and u unknown) has been proved by Tahara [7] in hyperbolic case and by Ôaku [6] in elliptic case. However, both authors assume some genericness conditions on characteristic exponents of P . The aim of this article is to remove these conditions.

First, let us recall the definition of Fuchsian partial differential operators (cf. [1]). Put $N=\{x=(x_1, x_2, \dots, x_n) \in \mathbf{R}^n; x_1=0\}$ with an integer $n \geq 2$. We use the notation $x'=(x_2, \dots, x_n)$, $D_j=\partial/\partial x_j$, $D'=(D_2, \dots, D_n)$. Then P is said to be a *Fuchsian partial differential operator of weight $m-k$ with respect to N* (around $x^0 \in N$) if $0 \leq k \leq m$ and if, on a neighborhood of x^0 , P is written in the form

$$P=a(x)\left(x_1^k D_1^m + \sum_{j=1}^m A_j(x, D') x_1^{\max(0, k-j)} D_1^{m-j}\right),$$

where $A_j(x, D')$ is a linear partial differential operator of order at most j for $j=1, \dots, m$; $A_j(0, x', D')$ equals a function $a_j(x')$ for $j=1, \dots, k$; $a(x')$ is a real analytic function with $a(x^0) \neq 0$. Then the *indicial equation* of P at x^0 is the polynomial

$$e(P, \lambda, x^0) = \prod_{\nu=0}^{m-1} (\lambda - \nu) + \sum_{j=1}^k a_j(x^0) \prod_{\nu=0}^{m-j-1} (\lambda - \nu)$$

in λ and the *non-trivial characteristic exponents* $\lambda_j = \lambda_j(x^0)$ ($j=1, \dots, k$) of P at x^0 are defined as the roots of the equation

$$\frac{e(P, \lambda, x^0)}{\prod_{\nu=0}^{m-k-1} (\lambda - \nu)} = 0$$

in λ .

In this article we assume that the principal symbol $\sigma_m(P)(x, \xi)$ of P is written in the form

$$\sigma_m(P)(x, \xi) = x_1^* p_m(x, \xi)$$

with a (complex valued) real analytic function $p_m(x, \xi)$ defined on $U \times \mathbf{R}^n$ where U is an open neighborhood of x^0 .

Then P is said to be *Fuchsian elliptic* (at x^0) if $p_m(x^0, \xi) \neq 0$ for any $\xi \in \mathbf{R}^n \setminus \{0\}$. Note that Fuchsian elliptic equations appear, e. g., in the representation theory of semi-simple Lie groups.

On the other hand, P is said to be *Fuchsian hyperbolic* if p_m is hyperbolic in the x_1 direction, i. e., the equation $p_m(x, \zeta, \xi') = 0$ in ζ has only real roots for any $x \in U$ and $\xi' \in \mathbf{R}^{n-1}$. One of the most typical Fuchsian hyperbolic operators is

$$x_1 \left(D_1^2 - \sum_{j=2}^n D_j^2 \right) + \alpha D_1$$

with $\alpha \in \mathbf{C}$, which is called the Euler-Poisson-Darboux operator.

We denote by \mathcal{B}_{x^0} the stalk at x^0 of the sheaf \mathcal{B} of hyperfunctions. Hence, $f \in \mathcal{B}_{x^0}$ means that f is a hyperfunction defined on a neighborhood (in \mathbf{R}^n) of x^0 , and $f=0$ holds if and only if its restriction to some smaller neighborhood of x^0 vanishes.

Theorem. *Let P be a Fuchsian elliptic or hyperbolic operator of weight $m-k$ with $0 \leq k \leq m$ with respect to a hypersurface N defined on a neighborhood of $x^0 \in N$. Then*

$$P: \mathcal{B}_{x^0} \longrightarrow \mathcal{B}_{x^0}$$

is surjective; i. e., the equation $Pu=f$ is locally solvable at x^0 for any hyperfunction f .

Proof. (i) First we assume $\lambda_j \notin \{\nu \in \mathbf{Z}; \nu \geq m-k\}$ for any $j=1, \dots, k$. If P is Fuchsian elliptic, the local solvability has been proved in [6]. If P is Fuchsian hyperbolic, the local solvability was proved essentially by H. Tahara. However, in Theorem 2.3.6 of [7] an additional condition that $\lambda_i - \lambda_j \notin \mathbf{Z}$ if $i \neq j$ is imposed. Here we give a proof of local solvability without this additional assumption.

Hence now we assume that P is Fuchsian hyperbolic and that $\lambda_j \notin \{\nu \in \mathbf{Z}; \nu \geq m-k\}$ for any $j=1, \dots, k$. Let $\sqrt{-1}S^*\mathbf{R}^n = \mathbf{R}^n \times \sqrt{-1}S^{n-1} \ni (x, \sqrt{-1}\xi_\infty)$ be the purely imaginary cosphere bundle of \mathbf{R}^n with $\xi = (\xi_1, \dots, \xi_n) = (\xi_1, \xi') \in \mathbf{R}^n \setminus \{0\}$ (ξ_∞ is the projection of ξ to the $(n-1)$ -sphere S^{n-1}). Put

$$Z = (\sqrt{-1}S^*\mathbf{R}^n|_N) \setminus \sqrt{-1}S_N^*\mathbf{R}^n = \{(0, x', \sqrt{-1}\xi_\infty); x' \in \mathbf{R}^{n-1}, \xi \in \mathbf{R}^n, \xi' \neq 0\}$$

and let

$$\rho: Z \longrightarrow \sqrt{-1}S^*N = \sqrt{-1}S^*R^{n-1}$$

be the map defined by $\rho(0, x', \sqrt{-1}\xi_\infty) = (x', \sqrt{-1}\xi'_\infty)$. We denote by \mathcal{C} the sheaf of microfunctions on $\sqrt{-1}S^*R^n$ and by $\rho_!$ the functor of direct image with proper support with respect to ρ .

By Theorem 2 of [3] (see also [4] for details of the proof), for any $g \in \rho_!(\mathcal{C}|_Z)_{x^*}$ with $x^* \in \sqrt{-1}S^*N$, there exists a $v \in \rho_!(\mathcal{C}|_Z)_{x^*}$ such that $Pv = g$ and that $D_1^\nu v(0, x') = 0$ for any $\nu = 0, \dots, m-k-1$. Moreover, in view of Theorem 2.3 of [5], such v is unique (Note that sections of $\rho_!(\mathcal{C}|_Z)$ are naturally regarded as F -mild microfunctions in the sense of [5]).

Now let $f \in \mathcal{B}_{x^0}$ and denote by $\text{sp}(f)$ the spectrum of f (i.e. the microfunction defined by f). By the flabbiness of the sheaf of microfunctions, we can take a microfunction g defined on $V \times \sqrt{-1}S^{n-1}$ with an open neighborhood V of x^0 such that $g = \text{sp}(f)$ on

$$\{(x, \sqrt{-1}\xi_\infty) \in \sqrt{-1}S^*R^n; x \in V, |\xi_1| < |\xi'_1|\}$$

and its support $\text{supp}(g)$ is contained in

$$\{(x, \sqrt{-1}\xi_\infty) \in \sqrt{-1}S^*R^n; x \in V, |\xi_1| \leq |\xi'_1|\}.$$

By the above argument, we can take, for any $\eta_\infty \in S^{n-2}$, a microfunction v_η on

$$\Omega(\eta) = \{(x, \sqrt{-1}\xi_\infty); |x - x^0| < \varepsilon(\eta), \xi \in R^n, |\xi' - \eta| < \varepsilon(\eta)\}$$

such that

$$\begin{aligned} Pv_\eta &= g \quad \text{on } \Omega(\eta), \\ D_1^\nu v_\eta(0, x') &= 0 \quad \text{for } \nu = 0, \dots, m-k-1, \\ \text{supp}(v_\eta) &\subset \left\{ (x, \sqrt{-1}\xi_\infty) \in \Omega(\eta); |\xi_1| \leq \frac{|\xi'_1|}{\varepsilon(\eta)} \right\} \end{aligned}$$

with some $\varepsilon(\eta) > 0$.

We can take finite number of $\eta^{(1)}, \dots, \eta^{(j)} \in S^{n-2}$ such that

$$\bigcup_{j=1}^j \Omega(\eta^{(j)}) \supset Z_0 = Z \cap (\{x^0\} \times \sqrt{-1}S^{n-1}).$$

By the uniqueness we have $v_{\eta^{(i)}} = v_{\eta^{(j)}}$ on a neighborhood of $\Omega(\eta^{(i)}) \cap \Omega(\eta^{(j)}) \cap Z$. Hence these $v_{\eta^{(j)}}$'s define a microfunction v on

$$\Omega = \{(x, \sqrt{-1}\xi_\infty); |x - x^0| < \varepsilon, |\xi_1| < |\xi'_1|\}$$

with some $\varepsilon > 0$ such that $Pv = \text{sp}(f)$ on Ω . Hence by the same argument as the proof of Theorem 1 of [6], we get the surjectivity of

$$P: (\mathcal{B}/\mathcal{A})_{x_0} \longrightarrow (\mathcal{B}/\mathcal{A})_{x_0},$$

where \mathcal{A} denotes the sheaf of real analytic functions on \mathbf{R}^n . Since $P: \mathcal{A}_{x_0} \rightarrow \mathcal{A}_{x_0}$ is surjective, $P: \mathcal{B}_{x_0} \rightarrow \mathcal{B}_{x_0}$ is also surjective.

(ii) Now we make no assumptions on characteristic exponents. But we assume $k=m$ for the moment. Let $\lambda_1, \dots, \lambda_m$ be the characteristic exponents of P at x_0 . We can take a nonnegative integer ν such that $\lambda_j - \nu \notin \{0, 1, 2, \dots\}$ for any $j=1, \dots, m$.

Note that P can be rewritten in the form

$$P = (x_1 D_1)^m + \sum_{j=1}^m B_j(x, D')(x_1 D_1)^{m-j}$$

with linear partial differential operators $B_j(x, D')$ of order $\leq j$ such that $B_j(0, x', D')$ equals a function $b_j(x')$. Then it is easy to see that

$$e(P, \lambda, x^0) = \lambda^m + \sum_{j=1}^m b_j(x^0) \lambda^{m-j}.$$

Using the formula

$$x_1 D_1 x_1^\nu = x_1^\nu (x_1 D_1 + \nu)$$

(here x_1 is considered as a differential operator of order 0) we have

$$P x_1^\nu = x_1^\nu Q$$

with

$$Q = (x_1 D_1 + \nu)^m + \sum_{j=1}^m B_j(x, D')(x_1 D_1 + \nu)^{m-j}.$$

Then Q is also a Fuchsian elliptic or hyperbolic operator (since $\sigma_m(P) = \sigma_m(Q)$) and we have

$$e(Q, \lambda, x^0) = e(P, \lambda + \nu, x^0).$$

Since Q satisfies the assumptions in (i) with $k=m$,

$$Q: \mathcal{B}_{x_0} \longrightarrow \mathcal{B}_{x_0}$$

is surjective. Since $x_1: \mathcal{B}_{x_0} \rightarrow \mathcal{B}_{x_0}$ is surjective (see, e.g. [2] for the proof), we get the surjectivity of

$$x_1^\nu Q: \mathcal{B}_{x_0} \longrightarrow \mathcal{B}_{x_0}.$$

Hence for any $f \in \mathcal{B}_{x_0}$ there exists $u \in \mathcal{B}_{x_0}$ such that

$$P x_1^\nu u = x_1^\nu Q u = f.$$

This means the local solvability of P .

(iii) Finally we consider general case. First note that $Q = x_1^{m-k} P$ is a Fuchsian elliptic or hyperbolic operator of weight 0. Put $R = P x_1^{m-k}$. Then

since

$$Qx_1^{m-k} = x_1^{m-k}R,$$

we know that R is also a Fuchsian elliptic or hyperbolic operator of weight 0 by the same argument as in (ii). Hence by (ii)

$$R: \mathcal{B}_{x_0} \longrightarrow \mathcal{B}_{x_0}$$

is surjective. This means the surjectivity of

$$P: \mathcal{B}_{x_0} \longrightarrow \mathcal{B}_{x_0}$$

since $R = Px_1^{m-k}$. This completes the proof.

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Department of Mathematics
Yokohama City University
22-2 Seto, Kanazawa-ku
Yokohama, 236 Japan