

## THE SYMMETRIC SPACE $SO(2n)/U(n)$

By

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### 1. Introduction and statement of main theorem.

Our aim here is to characterise the Riemannian Hermitian Symmetric space  $SO(2n)/U(n)$  by means of a particular parallel tensor field  $T$  of type  $(1, 3)$  and the Weingarten map on geodesic spheres. This continues earlier analogous results on Grassmannians [1], [4], [5] which, in turn, extended the characterisation of spaces of constant curvature and spaces of constant holomorphic sectional curvature due to L. Vanhecke and T. J. Willmore [7].

The tangent space at any point  $m \in SO(2n)/U(n)$  can be identified with the vector space  $S(n)$  of all complex skew-symmetric matrices of order  $n$  considered as a real vector space with inner product

$$(1.1) \quad g(X, Y) = \frac{1}{2} \operatorname{re} \operatorname{tr} X \bar{Y}^t.$$

This is Hermitian with respect to the complex structure  $J$  corresponding to multiplication by  $i = \sqrt{-1}$ , and an invariant Kaehler metric  $g$  is then defined on  $SO(2n)/U(n)$ . We remark that  $U(n)$  acts on  $S(n)$  as a group of congruences. The corresponding Riemannian curvature tensor at  $m$  is represented by its action on  $S(n)$  by

$$(1.2) \quad R(X, Y)Z = X \bar{Y}^t Z + Z \bar{Y}^t X - Y \bar{X}^t Z - Z \bar{X}^t Y.$$

For the non-compact dual  $SO^*(2n)/U(n)$  the curvature tensor is just the negative of the above expression and it is sufficient to consider only the compact case. Of course the metric  $g$  can be replaced by any metric homothetic to it without affecting  $R$ .

The tensor  $T$  of type  $(1, 3)$  defined at  $m$  by

$$(1.3) \quad T(X, Y, Z) = X \bar{Y}^t Z + Z \bar{Y}^t X$$

is invariant by  $U(n)$  and so extends to a parallel tensor field on  $SO(2n)/U(n)$  also denoted by  $T$ . We define endomorphisms  $T_{XY}$  and  $T_{\bar{Y}}$  of the tangent space at  $m$  by

$$T_{XY}Z = T(X, Y, Z) \quad \text{and} \quad T_{\bar{Y}}Z = T(X, Z, Y).$$

Also, for any tangent vector  $X$  at  $m$  we may regard the  $(1, 1)$  tensor  $JT_{XX}$ , defined by  $JT_{XX}Y = J(T_{XX}Y)$ , as a derivation on the tensor algebra at  $m$ . Then it is easily verified that  $T$  has the following properties at  $m$  and hence on  $SO(2n)/U(n)$ :

$$P_1: T(X, Y, Z) = T(Z, Y, X);$$

$$P_2: JT(X, Y, Z) = T(JX, Y, Z) = -T(X, JY, Z);$$

$$P_3: (i) JT_{XX}g = 0, \quad (ii) JT_{XX}T = 0;$$

$$P_4: (i) \operatorname{tr} T_{XX} = 4(n-1)g(X, X),$$

$$(ii) \operatorname{tr} (T_{XX}^2) = 16 (g(X, X))^2 - 4g(T(X, X, X), X).$$

We remark that the coefficients of  $g$  in  $P_4$  could be simplified by writing  $4g$  in place of  $g$  but the present choice is preferred because of later work. Particular use will be made of unit vectors at  $m$  satisfying  $T(X, X, X) = 2X$ . These are characterised by the following lemma which is easily proved using elementary matrix methods.

**Lemma 1.1.** *Let  $X$  be any complex  $p \times q$  matrix of rank  $r > 0$  and write  $a = (1/r) \operatorname{tr} XX^t$ . Then  $XX^tX = \alpha X$ , for some  $\alpha \in \mathbb{C}$ , if and only if  $XX^t$  has a as its only non-zero eigenvalue; in this case  $\alpha = a$  and is an  $r$ -fold eigenvalue of  $XX^t$ . In particular suppose  $X$  is skew-symmetric. Then  $XX^tX = (1/2) \operatorname{tr} (XX^t)X$  if and only if  $X$  has rank 2 or, equivalently, if and only if  $X = (a_i b_j - a_j b_i)$  where  $\sum a_i \bar{b}_i = 0$ .*

Now choose a geodesic  $\gamma$  through  $m$  with unit tangent vector field  $N$  such that  $T(N, N, N) = 2N$  on  $\gamma$ . This relation holds if and only if it is satisfied at  $m$ , and clearly such vectors exist at  $m$  because of the above lemma. Then from (1.2),

$$(1.4) \quad R(JN, N)N = 2JN.$$

We show that this relation imposes a condition on geodesic spheres. First we make some remarks on the notation used in the remainder of this section. For a spherical normal neighbourhood  $B$  of a point  $m$  on a Riemannian manifold we denote by  $N$  the unit vector field on  $B \setminus \{m\}$  which is tangential to geodesic rays from  $m$ . Then we define  $A = -\nabla N$ . For any geodesic sphere  $S$  in  $B$  with centre  $m$  the restriction of  $A$  to tangent vectors to  $S$  is just the Weingarten map on  $S$  with respect to  $N$  as unit normal vector field. We call  $A$  the spherical Weingarten map and note also that  $AN = 0$ . Furthermore, if  $\gamma$  is a geodesic in  $B$  through  $m$  then on  $\gamma \setminus \{m\}$  the curvature tensor  $R$  satisfies

$$(1.5) \quad R(N, X)N = A^2X - (\nabla_N A)X$$

for any vector field  $X$  along  $\gamma$ . These results are well known (see [1] for example.)

Next we require the following result again from [1].

**Lemma 1.2.** *Let  $m$  be a point in a Riemannian locally symmetric space  $M$  of dimension  $>2$ . Then  $m$  has a normal neighbourhood  $B$  such that, for each unit vector  $N_m \in M_m$  and corresponding geodesic  $\gamma$ , the parallel translate of an eigenspace of the linear map  $R(N_m - )N_m$  along  $\gamma$  is contained in an eigenspace of the spherical Weingarten map  $A$ .*

As an immediate consequence of this lemma and (1.4) we have the following.

**Proposition 1.3.** *Let  $m \in SO(2n)/U(n)$  and choose a normal neighbourhood  $B$  of  $m$  as in Lemma 1.2. Then the spherical Weingarten map  $A$  satisfies the relation*

$$P_5: AJN = fJN$$

for some real-valued function  $f$  on  $B \setminus \{m\}$  and for  $N$  satisfying  $T(N, N, N) = 2N$  and of unit length.

We remark that, from the definition of  $A$ ,  $f$  is smooth along  $\gamma \setminus \{m\}$  for any geodesic  $\gamma$  in  $B$  through  $m$ .

Our main theorem can now be stated.

**Theorem 1.4.** *Let  $M$  be a non-flat complete simply connected Kaehler manifold of dimension  $n(n-1) > 2$  with metric  $g$  and complex structure  $J$ , and let  $T$  be a parallel tensor field of type  $(1, 3)$  on  $M$  satisfying  $P_1 - P_4$ . Suppose each  $m \in M$  has a normal neighbourhood  $B$  on which  $P_5$  is satisfied. Then  $M$  is homothetic to the Riemannian symmetric space  $SO(2n)/U(n)$  or its non-compact dual.*

## 2. A characterisation of $T$ .

The proof of the main theorem depends largely on a characterisation of the tangent space structure at a point of  $SO(2n)/U(n)$  as described earlier. For this purpose, we require the following result.

**Proposition 2.1.** *Let  $A$  be a real vector space of dimension  $n(n-1) > 2$  with complex structure  $J$  and Hermitian inner product  $\langle, \rangle$ , and let  $T$  be a tensor of type  $(1, 3)$  on  $A$  satisfying  $P_1 - P_4$  with  $\langle, \rangle$  replacing  $g$ . Then there is a complex linear isomorphism of  $A$  onto the real vector space  $S(n)$  of all complex skew-symmetric matrices such that, under identification,  $JX = iX$ ,  $T(XYZ) = XY^tX + Z\bar{Y}^tX$  and  $\langle X, X \rangle = 1/2 \operatorname{tr} X\bar{X}$ .*

The proof of the proposition requires several lemmas all relating to  $A$  under the above assumptions. First, we derive some consequences of property  $P_3$ .

**Lemma 2.2.** (i) For all  $X \in A$ ,  $T_{XX}$  and  $T_X^X$  are self-adjoint endomorphisms;  
(ii) for all  $X, Y, Z, U, V \in A$ ,

$$(2.1) \quad \langle T(X, Y, Z), U \rangle = \langle T(Y, X, U), Z \rangle = \langle T(Z, U, X), Y \rangle$$

and

$$(2.2) \quad T_{XY}T(Z, U, V) = T(T_{XY}Z, U, V) - T(Z, T_{YX}U, V) + T(Z, U, T_{XY}V).$$

**Proof.** (i) For  $T_{XX}$ , this is an immediate consequence of  $P_2$  and  $P_3(i)$ . For  $T_X^X$  the self-adjoint property follows using (ii).

(ii) Use (i) and linearisation in  $T_{XX}$  to obtain

$$\langle T_{XY}Z + T_{YX}Z, U \rangle = \langle Z, T_{XY}U + T_{YX}U \rangle,$$

then replace  $Y, Z$  by  $JY, JZ$  and use  $P_2$  to get

$$\langle T_{XY}Z - T_{YX}Z, U \rangle = \langle Z, T_{YX}U - T_{XY}U \rangle.$$

Addition gives  $\langle T_{XY}Z, U \rangle = \langle Z, T_{YX}U \rangle$ , as required and the last part of (2.1) follows using  $P_1$ . This also proves the self-adjoint property of  $T_X^X$ . Next, from  $P_3(ii)$  and  $P_2$ ,

$$T_{XX}T(U, V, W) = T(T_{XX}U, V, W) - T(U, T_{XX}V, W) + T(U, V, T_{XX}W),$$

and linearisation gives

$$\begin{aligned} T_{XY}T(U, V, W) + T_{YX}T(U, V, W) &= T(T_{XY}U, V, W) + T(T_{YX}U, V, W) \\ &\quad - T(U, T_{XY}V, W) - T(U, T_{YX}V, W) \\ &\quad + T(U, V, T_{XY}W) + T(U, V, T_{YX}W). \end{aligned}$$

Replace  $X$  by  $JX$  and use  $P_2$  to get

$$\begin{aligned} T_{XY}T(U, V, W) - T_{YX}T(U, V, W) &= T(T_{XY}U, V, W) - T(T_{YX}U, V, W) \\ &\quad + T(U, T_{XY}V, W) - T(U, T_{YX}V, W) \\ &\quad + T(U, V, T_{XY}W) - T(U, V, T_{YX}W). \end{aligned}$$

Then addition gives (2.2) which completes the proof.

We require some further general properties of  $T$ .

**Lemma 2.3.** (i) For  $X, Y, Z, W \in A$

$$(2.3) \quad T(Y, X, T(Z, X, Z)) = T(Y, T(X, Z, X), Z),$$

$$(2.4) \quad 2T_{XX}^2 Y = T_X^2 Y + T(T(X, X, X), X, Y),$$

$$(2.5) \quad T(X, Y, T(X, Z, X)) = T(X, Z, T(X, Y, X)) = T(X, T(Y, X, Z), X),$$

$$(2.6) \quad T_X^2 T_{XX} = T_{XX} T_X^2,$$

$$(2.7) \quad T(T(X, Y, X), Z, T(X, W, X)) = T(X, T(Y, T(X, Z, X), W), X).$$

(ii) if  $X \neq 0$  then  $T(X, X, X) \neq 0$ .

**Proof.** (i) Equations (2.3) and (2.4) follow by considering  $T_{ZX}T(Z, X, Y)$  and  $T_{YX}T(X, X, X)$  then applying (2.2). Again, (2.5) follows by applying (2.2) to  $T_{XY}T(X, Z, X) - T_{XZ}T(X, Y, X)$ , and (2.6) is a special case of (2.5).

To prove (2.7), we use (2.2) and (2.5) to obtain

$$\begin{aligned} T(T(X, Y, X), Z, T(X, W, X)) &= 2T(T(T(X, Y, X), Z, X), W, X) \\ &\quad - T(X, T(Z, T(X, Y, X), W), X) \\ &= 2T(T(X, T(Y, X, Z), X), W, X) \\ &\quad - T(X, T(Z, T(X, Y, X), W), X) \\ &= 2T(X, T(T(Y, X, Z), X, W), X) \\ &\quad - T(X, T(Z, T(X, Y, X), W), X). \end{aligned}$$

Now (2.7) follows from this equation by noting that linearisation of  $Z$  in (2.3) implies

$$2T(T(Y, X, Z), X, W) = T(Z, T(X, Y, X), W) + T(Y, T(X, Z, X), W).$$

(ii) Suppose  $X \neq 0$  and  $T(X, X, X) = 0$ . Then (2.4) implies  $2T_{XX}^2 = T_X^2$ . Also, writing  $Z = X$  in (2.5) gives  $T_{XX}T_X^2 = 0$ , so  $2T_{XX}^2 = T_{XX}^2T_X^2 = 0$ . Since  $T_{XX}$  is self-adjoint, we have  $T_{XX} = 0$  which contradicts  $P_4(i)$  and proves (ii).

From now on, for any non-zero  $X \in \mathcal{A}$  we write  $\text{im } T_{XX} = \mathcal{A}_X$ , and  $\text{im } T_X^2 = \mathcal{A}^X$ ; also, for  $\lambda \in \mathbf{R}$  we write  $\mathcal{A}_X^\lambda$  (resp.  $\mathcal{A}_X^X$ ) for the corresponding eigenspace of  $T_{XX}$  (resp.  $T_X^2$ ) with the convention that  $\mathcal{A}_X^\lambda = \{0\}$  (resp.  $\mathcal{A}_X^X = \{0\}$ ) if  $\lambda$  is not an eigenvalue.

**Lemma 2.4.** *Suppose  $X$  is a unit vector such that  $T(X, X, X) = \lambda X$  for some  $\lambda \in \mathbf{R}$ . Then the eigenspaces of  $T_{XX}$  and  $T_X^2$  are  $\mathcal{A}_X^\lambda, \mathcal{A}_X^{\lambda/2}, \mathcal{A}_X^0$ , and  $\mathcal{A}_X^X, \mathcal{A}_X^X, \mathcal{A}_X^X$  respectively, where  $\mathcal{A}_X^0 = \mathcal{A}_X^0 \oplus \mathcal{A}_X^{\lambda/2}$ ,  $\mathcal{A}_X^X = J\mathcal{A}_X^X$  and  $\mathcal{A}_X^\lambda = \mathcal{A}_X^\lambda \oplus \mathcal{A}_X^{\lambda/2} = \mathcal{A}^X$ . Moreover, for some integer  $k > 1$ ,  $\lambda = 4/k$ ,  $\dim \mathcal{A}_X^\lambda = k(k-1)$  and  $\dim \mathcal{A}_X^{\lambda/2} = 2k(n-k)$ , with the convention that  $\mathcal{A}_X^{\lambda/2} = \{0\}$  when  $k = n$ .*

**Proof.** If  $T(X, X, Z) = \theta Z$  then (2.4) and (2.5) imply

$$2\theta^2 Z = T_{\frac{\lambda}{2}}^2 Z + \lambda \theta Z,$$

$$\theta T_{\frac{\lambda}{2}} Z = \lambda T_{\frac{\lambda}{2}} Z.$$

Consequently,

$$\theta \neq \lambda \implies T_{\frac{\lambda}{2}} Z = 0 \implies \theta = 0 \text{ or } \frac{\lambda}{2},$$

$$\theta = \lambda \implies T_{\frac{\lambda}{2}}^2 Z = \lambda^2 Z \implies T_{\frac{\lambda}{2}} Z = \pm \lambda Z,$$

and the relations between eigenspaces of  $T_{XX}$  and  $T_{\frac{\lambda}{2}}$  follow immediately where we must allow for the possibility that  $A_X^0 = \{0\}$  or  $A_X^{\lambda/2} = \{0\}$ , and note, in particular, that  $A_{X\lambda} = JA_X^{\lambda}$  because of  $P_2$ . Next, suppose  $\dim A_X^{\lambda} = r$  and  $\dim A_X^{\lambda/2} = s$ . Then from  $P_4$ ,

$$r\lambda + s\frac{\lambda}{2} = 4(n-1),$$

$$r\lambda^2 = 16 - 4\lambda.$$

Elimination of  $\lambda$  gives

$$\frac{2r+s}{n-1} = 1 + \sqrt{1+4r}$$

from which  $1+4r = (2k-1)^2$  for some positive integer  $k$ , so  $r = k(k-1)$ ,  $s = 2k(n-k)$ , and  $\lambda = 4/k$  as required.

The next lemma proves the existence of a vector  $X$  as above. We first remark that a subspace  $A$  of  $\Lambda$  will be called  $J$  (resp.  $T$ )-invariant if  $JX$  (resp.  $T(X, Y, Z)$ ) belong to  $A$  whenever  $X, Y, Z \in A$ .

**Lemma 2.5.** (i) If  $X \in \Lambda$  and  $Y \in A^X$  then  $A^Y \subset A^X$ .

(ii) If  $A$  is a non-zero subspace of  $\Lambda$  which is  $J$  and  $T$ -invariant there exists a unit vector  $Y \in A$  such that  $T(Y, Y, Y) = \lambda Y$  for some  $\lambda \in \mathbb{R}$  and  $A^Y \cap A$  is generated by  $\{Y, JY\}$ . Moreover, if  $A^X \subset A$  for each  $X \in A$  then there exists a unit vector  $Y \in A$  such that  $T(Y, Y, Y) = Y$ ; in particular, such a vector  $Y$  exists in  $\Lambda$ .

**Proof.** (i) If  $Y = T(X, W, X)$  and  $Z = T(Y, V, Y)$  then, from (2.7),  $Z = T(X, T(W, T(X, V, X), W), X) \in A^X$ .

(ii) Choose a non-zero vector  $X \in A$  such that  $\dim(A^X \cap A) \leq \dim(A^Z \cap A)$  for all non-zero  $Z \in A$ . Note that  $\dim(A^X \cap A) > 0$  since, by (ii) of Lemma 2.3,  $T(X, X, X) \neq 0$ . Choose any  $Y \in A^X \cap A$  which is non-zero. Then by (i),  $A^Y \cap A = A^X \cap A$  so  $T_Y^{\lambda}$  is a non-singular endomorphism of  $A^X \cap A$ . Let  $T_{YY}Z = \theta Z$  and  $T_{YY}W = \varphi W$  where  $Z, W$  are non-zero vectors in  $A^X \cap A$ . Then using (2.2),  $T_{YY}T(Z, W, Z) = (2\theta - \varphi)T(Z, W, Z)$  so  $2\theta - \varphi$  is an eigenvalue of  $T_{YY}|_{A^X \cap A}$ , noting that  $T(Z, W, Z) \neq 0$ . It follows that the eigenvalues of  $T_{YY}$  are un-

bounded unless  $T_{YY}|_{A^X \cap A}$  is just a multiple of the identity map  $I$ . Thus there is a real valued function  $f$  on  $A^X \cap A$  such that if  $Z$  is any non-zero vector in  $A^X \cap A$  then  $T_{ZZ}|_{A^X \cap A} = f(Z)\langle Z, Z \rangle I$  and (2.1) shows  $f = \lambda$  for some  $\lambda \in \mathbf{R}$ . Next we prove that  $\dim A^X \cap A = 2$ . For all  $Y, Z, W \in A^X \cap A$ ,

$$T(Y, W, Z) + T(W, Y, Z) = 2\lambda \langle Y, W \rangle Z$$

hence

$$T(Y, W, Y) = 2\lambda \langle Y, W \rangle Y - \lambda \langle Y, Y \rangle W.$$

Now suppose  $Y$  is a unit vector orthogonal to  $W$  and  $JW$ , noting that  $A^X \cap A$  is  $J$ -invariant. Then

$$\lambda W = -T(Y, W, Y) = -JT(Y, JW, Y) = \lambda JW,$$

so  $T(Y, W, Y) = 0$  which is impossible unless  $W = 0$ . Thus  $A^X \cap A$  is generated by  $\{Y, JY\}$  and  $T\{Y, Y, Y\} = \lambda Y$ . In particular, when  $A^X \subset A$  we see that  $\dim A^X = 2$  so, from Lemma 2.4,  $T(Y, Y, Y) = 2Y$ . Finally, by choosing  $A = \mathcal{A}$  we see that this relation must hold for some unit vector  $Y \in \mathcal{A}$  and the proof is complete.

From now on we denote by  $D$  the subset of vectors  $X$  in  $\mathcal{A}$  satisfying  $T(X, X, X) = 2\langle X, X \rangle X$ .

**Corollary 2.6.** (i) For any  $X \in \mathcal{A}$  let  $\theta$  be an eigenvalue of  $T_{XX}$ . Then  $A_X^\theta$  is  $J$  and  $T$ -invariant.

(ii) Suppose  $X$  is a unit vector such that  $T(X, X, X) = \lambda X$  for some  $\lambda \in \mathbf{R}$ . Then for each  $Y \in A_X^\theta$ ,  $T_Y^\theta(A_X^\lambda) = T_Y^\theta(A_X^{\lambda/2}) = \{0\}$ .

(iii) For  $X$  as in (ii),  $A_X^\lambda \cap D \neq \{0\}$  and  $A_X^0 \cap D \neq \{0\}$ .

**Proof.** (i)  $A_X^\theta$  is  $J$  and  $T$ -invariant because of  $P_2$  and (2.2).

(ii) If  $Z \in A_X^\theta$  where  $\theta = \lambda$  or  $\lambda/2$  then from (2.2),  $T(X, X, T(Y, Z, Y)) = -\theta T(Y, Z, Y)$  so  $T(Y, Z, Y) = 0$  since  $T_{XX}$  has only  $\lambda, \lambda/2$  as non-zero eigenvalues.

(iii) By Lemma 2.4,  $A_X^\lambda = A^X$  and  $A^X \cap D \neq \{0\}$  because of Lemma 2.5. Again, suppose  $Y \in A_X^0$ . Since  $\mathcal{A}$  has a direct sum decomposition into the  $0, \lambda, \lambda/2$  eigenspaces of  $T_{XX}$  we see from (i) and (ii) that  $A_X^0 \cap D \neq \{0\}$ .

**Lemma 2.7.** Let  $X$  be a unit vector in  $D$ . Then

(i)  $T(X, U, V) \in A_X$  for all  $U, V \in \mathcal{A}$  and  $T_{XW} = T_{WX} = 0$  for all  $W \in A_X^0$ ;

(ii)  $T(X, Y, Z) = \langle Y, Z \rangle X + \langle JY, Z \rangle JX$  for all  $Y, Z \in A_X^1$ .

**Proof.** (i) For  $U, V \in \mathcal{A}$ ,

$$\begin{aligned} T(X, U, V) &= T_{VU}T(X, X, X) \\ &= 2T(T(V, U, X), X, X) - T(X, T(U, V, X), X) \end{aligned}$$

so  $T(X, U, V) \in A_X$ . Then for  $W \in A_X^0$ ,

$$\langle T(W, X, U), V \rangle = \langle T(X, W, V), U \rangle = \langle T(X, U, V), W \rangle = 0$$

and (i) follows.

(ii) This follows by noting that, from (2.2),  $T(X, Y, Z) \in A^X$  and then taking inner products.

**Lemma 2.8.** Suppose  $X_1, X_2$  are unit vectors in  $D$  such that  $X_2 \in A_{X_1}^0$ ; write  $X = (1/\sqrt{2})(X_1 + X_2)$  and  $A = A_{X_1}^1 \cap A_{X_2}^1$ .

- (i)  $A^X = A^{X_1} \oplus A^{X_2} \oplus A$  and  $\dim A^X = 12$ .  
(ii)  $T_{X_2}^1(A) \subset A$  and  $T_{X_2}^1$  restricts to an orthogonal bijection of  $A$  of order 2.  
(iii) If  $Y \in A \cap D$  is non-zero then  $T_{X_2}^1(Y) \in A_Y^0 \cap D$ ,  $\dim A_Y^0 \cap A = 2$  and  $\dim A_Y^1 \cap A = 4$ .

(iv) There exist unit vectors  $Y_1, Y_2 \in A \cap D$  such that  $Y_2 \in A_{Y_1}^1$ . Write  $Y_3 = -T(X_1, Y_2, X_2)$ ,  $Y_4 = T(X_1, Y_1, X_2)$ . Then  $A$  has an orthonormal basis

$$\{Y_1, Y_2, Y_3, Y_4, JY_1, JY_2, JY_3, JY_4\}$$

of vectors in  $D$ .

(v) If  $X_3$  is a unit vector in  $A_{X_1}^0 \cap A_{X_2}^0 \cap D$  then  $A \subset A_{X_3}^0$ ; if  $Y \in A$  then  $A_Y \subset A_{X_1} \cup A_{X_2}$ .

**Proof.** (i) From (i) of Lemma 2.7,  $T(X, X, X) = X$ . Also, Lemma 2.4 shows that  $A^X = A_X^1$  with  $\dim A^X = 12$ . Now if  $Z \in A$  then

$$2T(X, X, Z) = T(X_1, X_1, Z) + T(X_2, X_2, Z)$$

and the direct sum decomposition follows by considering the components of  $Z$  in  $A_{X_1}^1$ ,  $A_{X_1}^0$ , and noting that these subspaces are invariant by  $T_{X_2 X_2}$ .

(ii) Lemma 2.7 shows that  $T_{X_2}^1(A) \subset A$ . Next, if  $Z \in A$  then

$$\begin{aligned} 0 &= T_{Z X_2} T(X_1, X_1, X_2) = -T(X_1, T(X_2, Z, X_1), X_2) \\ &\quad + T(X_1, X_1, T(Z, X_2, X_2)) \end{aligned}$$

so  $T_{X_2}^1(T_{X_2}^1 Z) = Z$ . The restriction of  $T_{X_2}^1$  to  $A$  is seen to be orthogonal by taking inner products.

(iii) if  $Y$  is a unit vector in  $A \cap D$  then from (2.2) and Lemma 2.7,  $T_{Y X_1} T(X_1, Y, X_2) = X_2$  and  $T(X_1, Y, T(X_1, Y, X_2)) = 0$  since  $T(X_1, Y, X_2) \in A_Y^0$ . Then by considering  $T_{X_1 Y} T(X_2, T(X_1, Y, X_2), T(X_1, Y, X_2))$  we obtain  $T(X_1, Y, X_2) \in D$ . Next we note that  $X_1 \in A_Y^1$  and  $A^{Y+Z} = A^X$  where  $Z = T(X_1, Y, X_2)$ . It follows that  $\dim(A_Y^0 \cap A^X) = \dim(A_{X_1}^0 \cap A^X) = 2$  and  $\dim(A_Y^1 \cap A^X) = \dim(A_{X_1}^1 \cap A^X)$

=8; the last part of (iii) is then an easy consequence.

(iv) From Lemma 2.5, there exists a unit vector  $Y_1 \in A$  such that, for some  $\lambda \in \mathbb{R}$ ,  $T(Y_1, Y_1, Y_1) = \lambda Y_1$  and  $\dim(A^{Y_1} \cap A) = 2$ . Now  $T(Y_1, Y_1, X_1) = X_1$  so from Lemma 2.4,  $\lambda = 1$  or  $2$ . If  $\lambda = 1$  then  $\dim A^{Y_1} = 12$  and, from (i) of Lemma 2.5,  $A^{Y_1} = A^X$  which contradicts  $\dim(A^{Y_1} \cap A) = 2$ ,  $\dim A > 2$ . Thus  $\lambda = 2$  and  $Y_1 \in D$  as required. Since  $\dim A_{Y_1}^1 \cap A = 4$ , the same proof shows the existence of a unit vector  $Y_2 \in A_{Y_1}^1 \cap A \cap D$ . The orthonormal basis is now obtained using (ii) and (iii).

(v) Clearly  $T_{X_3, X_3}(A) \subset A$  so suppose  $Z \in A$  is non-zero and  $T_{X_3, X_3} Z = Z$ . Then  $T(X_3, X_3, T(X_1, Z, X_2)) = -T(X_1, Z, X_2)$  which implies  $T(X_1, Z, X_2) = 0$ ; but this is impossible from (ii) so  $A \subset A_{X_3}^0$ . Next if  $Y \in A$  then  $Y = T(X_1, U, X_2)$  for some  $U \in A$  so for each  $Z \in A$

$$\begin{aligned} T(Y, Y, Z) &= T(T(X_1, U, X_2), Y, Z) \\ &= T(X_1, U, T(X_2, Y, Z)) - T(X_2, T(U, X_1, Y), Z) \\ &\quad + T(X_2, Y, T(X_1, U, Z)). \end{aligned}$$

Thus from (i) of Lemma 2.7  $A_X \subset A_{X_1}^1 \cup A_{X_2}^1$  and the proof is complete.

We remark that the minus sign in the definition of  $Y_3$  is chosen for convenience later.

**Lemma 2.9.** *There exists an orthonormal set of vectors  $\{U_1, U_2, \dots, U_m\} \subset D$  where  $m = [n/2]$  such that  $T(U_i, U_j, U_k) = 2\delta_j^i \delta_k^i U_i$ .*

**Proof.** Suppose, for some  $p$ ,  $\{U_1, \dots, U_p\}$  is an orthonormal set of vectors in  $D$  satisfying  $T(U_i, U_j, U_k) = 2\delta_j^i \delta_k^i U_i$ . Such a set exists when  $p = 1$ . Write  $X = (1/\sqrt{p})(U_1 + U_2 + \dots + U_p)$ . Then  $T(X, X, X) = (1/p)X$ . If  $p < [n/2]$  then from Lemma 2.4  $\dim A_X^0 = (n-2p)(n-2p-1) > 0$ , so from (iii) of Corollary 2.6 there exists a unit vector  $U_{p+1} \in A_X^0 \cap D$ . Thus  $0 = T(X, X, U_{p+1}) = \sum_{i=1}^p T(U_i, U_i, U_{p+1})$  which implies  $T(U_i, U_i, U_{p+1}) = 0$  for  $i = 1, \dots, p$  since each  $T_{U_i, U_i}$  is positive semi-definite. Clearly  $U_{p+1}$  is orthogonal to each  $U_i$  and the lemma follows using Lemma 2.7 and induction on  $p$ .

We now use  $\{U_1, \dots, U_m\}$  to obtain vectors in  $D$  which form an orthonormal basis for  $\bigoplus_{i=2}^m (A_{U_1}^1 \cap A_{U_i}^1)$ .

**Lemma 2.10.** *For  $i = 2, \dots, m$ , each  $A_{U_1}^1 \cap A_{U_i}^1$  has an orthonormal basis  $\{e_{\alpha\beta}, j_{e_{\alpha\beta}} : 1 \leq \alpha \leq 2 \text{ and } 2i-1 \leq \beta \leq 2i\}$  of vectors in  $D$  such that for  $1 \leq \alpha, \beta \leq 2$ ,  $2 \leq i \leq m$ ,  $2 \leq j, k, l \leq 2m$ ,*

- (i)  $e_{2\ 2i} = T(U_1, e_{1\ 2i-1}, U_i)$ ,  $e_{2\ 2i-1} = -T(U_1, e_{1\ 2i}, U_i)$ ;
- (ii)  $T(e_{\alpha j}, e_{\alpha k}, e_{\alpha l}) = e_{\alpha j} \delta_{kl} + e_{\alpha l} \delta_{jk}$ ;
- (iii)  $T(e_{\alpha j}, e_{\alpha k}, e_{\beta k}) = e_{\beta j}$  if  $\alpha \neq \beta$ ;
- (iv)  $T(e_{\alpha j}, e_{\beta k}, X) = 0$  for all  $X \in \Lambda$  if  $\alpha \neq \beta$  and  $j \neq k$ .

**Proof.** (i) First apply Lemma 2.8 with  $U_1, U_2$  replacing  $X_1, X_2$  and  $e_{13}$  replacing  $Y_1$ . If  $m=2$  define  $e_{14}=Y_2$ ,  $e_{23}=Y_3$  and  $e_{24}=Y_4$  as in the same lemma. Next assume  $m>2$ . Then

$$T_{e_{13}e_{13}}(A_{\bar{v}_1}^1 \cap A_{\bar{v}_i}^1) \subset A_{\bar{v}_1}^1 \cap A_{\bar{v}_i}^1 \quad \text{for } i=3, \dots, m$$

and the relation

$$T_{e_{13}e_{13}} T(U_1, X, U_i) = T(U_1, X, U_i) - T(U_1, T(e_{13}, e_{13}, X), U_i)$$

shows that  $T_{\bar{v}_i}^1$  restricts to a bijection of  $A_{\bar{v}_1}^1 \cap A_{\bar{v}_i}^1 \cap A_{e_{13}}^1$  onto  $A_{\bar{v}_1}^1 \cap A_{\bar{v}_i}^1 \cap A_{e_{13}}^0$ . Hence  $\dim(A_{\bar{v}_1}^1 \cap A_{\bar{v}_i}^1 \cap A_{e_{13}}^1) = 4$  and, by a proof similar to that for (iv) of Lemma 2.8, there exists a unit vector  $e_{1\ 2i-1} \in A_{\bar{v}_1}^1 \cap A_{\bar{v}_i}^1 \cap A_{e_{13}}^1 \cap D$ . Define  $e_{2\ 2i} = T(U_1, e_{1\ 2i-1}, U_i)$  for  $i=2, \dots, m$  and note that  $e_{2\ 2i} \in A_{\bar{v}_1}^1 \cap A_{\bar{v}_i}^1 \cap A_{e_{13}}^0 \cap A_{e_{1\ 2i-1}}^0 \cap D$  and is a unit vector.

Now for  $i \geq 3$  choose a unit vector  $Y \in A_{\bar{v}_1}^1 \cap A_{\bar{v}_i}^1 \cap A_{e_{13}}^1$  orthogonal to  $\{e_{1\ 2i-1}, Je_{1\ 2i-1}\}$ . Since  $A_{\bar{v}_1}^1 \cap A_{\bar{v}_i}^1 \cap A_{e_{13}}^1$  is  $J$  and  $T$ -invariant it follows by taking inner products with  $e_{1\ 2i-1}$  that  $\{Y, JY\} \subset D$ . Since  $\{e_{2\ 2i}, Je_{2\ 2i}\} \subset A_{1\ 2i-1}^0$  we see that  $Y \in A_{e_{1\ 2i-1}}^1$  and then  $A_{\bar{v}_1}^1 \cap A_{\bar{v}_i}^1 \cap A_{e_{13}}^1 \subset D$ . Next, for  $i, j \geq 3$  suppose  $X \in A_{\bar{v}_1}^1 \cap A_{\bar{v}_i}^1 \cap A_{e_{13}}^1$  and  $Y \in A_{\bar{v}_1}^1 \cap A_{\bar{v}_j}^1 \cap A_{e_{13}}^1$  are unit vectors with  $Y$  orthogonal to  $\{X, JX\}$ . If  $T(X, X, Y) = 0$  then from Lemma 2.8,  $T(X, e_{13}, Y) \neq 0$ . But  $T(X, e_{13}, Y) \in A_{\bar{v}_2}^1 = \{0\}$  so  $T(X, X, Y) \neq 0$ . Since  $T_{XX}$  restricts to an endomorphism of  $A_{\bar{v}_1}^1 \cap A_{\bar{v}_j}^1 \cap A_{e_{13}}^1$  it follows that  $T(X, X, Y) = Y$ . Next we note that  $A_{\bar{v}_1}^1 \cap A_{\bar{v}_2}^1 \cap A_{e_{13}}^1 \cap A_{e_{15}}^1$  has dimension 2 and choose a unit vector  $e_{14}$  in this subspace. It follows that  $e_{14} \in A_{e_{24}}^1 \cap D$  and  $e_{23} = -T(U_1, e_{14}, U_2) \in A_{e_{13}}^1 \cap D$ . For any unit vector  $X \in A_{\bar{v}_1}^1 \cap A_{\bar{v}_i}^1 \cap A_{e_{13}}^1$ ,  $i > 3$ , we have  $X \in D$ . If  $T(X, X, e_{14}) = 0$  then  $T(e_{14}, e_{15}, X) \neq 0$ . But  $T(e_{14}, e_{15}, X) \in A_{\bar{v}_3}^1 = \{0\}$  and it follows as before that, necessarily,  $T(X, X, e_{14}) = e_{14}$ . Finally, defining  $e_{16} = T(e_{13}, e_{23}, e_{26})$ , it follows that  $e_{16} \in A_{e_{14}}^1$ , also  $e_{16} \in A_{e_{13}}^0 \cap A_{e_{23}}^1$  so is a unit vector by Lemma 2.8. Then, as an immediate consequence of the above relations, we see that if  $i \geq 3$  and  $X, Y \in A_{\bar{v}_1}^1 \cap A_{\bar{v}_i}^1 \cap A_{e_{13}}^1$  are unit vectors with  $Y$  orthogonal to  $\text{span}\{X, JX\}$  then  $T(X, X, Y) = Y$ . Similarly, for the same  $Y$  and for  $X \in \text{span}\{e_{13}, e_{14}, Je_{13}, Je_{14}\}$  we again have  $T(X, X, Y) = Y$ . Next, for  $i=3, \dots, m$ , define  $e_{1\ 2i} = T(e_{13}, e_{23}, e_{2\ 2i}) \in A_{\bar{v}_1}^1 \cap A_{\bar{v}_i}^1 \cap A_{e_{13}}^1 \cap D$  and  $e_{2\ 2i-1} = -T(U_1, e_{1\ 2i}, U_i) \in A_{\bar{v}_1}^1 \cap A_{\bar{v}_i}^1 \cap A_{e_{13}}^0 \cap D$ . Then it is immediate from Lemma 2.8 that for  $i=2, \dots, m$  the set  $\{e_{\alpha\beta}, Je_{\alpha\beta} : 1 \leq \alpha \leq 2 \text{ and } 2i-1 \leq \beta \leq 2i\}$  forms an orthonormal basis for  $A_{\bar{v}_1}^1 \cap A_{\bar{v}_i}^1$ . Also (i) is satisfied by definition.

(ii) As shown above,  $T(e_{1i}, e_{1i}, e_{1j}) = e_{1j}$  for  $i, j \geq 2$  and  $i \neq j$ . The relations

$T(e_{1i}, e_{1i}, e_{2j})=0$  for  $i \neq j$  and then  $T(e_{2i}, e_{2i}, e_{2j})=e_{2j}$  for  $i, j > 2$  and  $i \neq j$  follow easily. Consequently, (ii) follows from (ii) of Lemma 2.7.

(iii) Clearly this is true when  $j=k$  so assume  $j \neq k$ . There are several cases to consider. Thus

$$\begin{aligned} & T(e_{1\ 2i-1}, e_{1\ 2j-1}, e_{2\ 2j-i}) \\ &= -T(e_{1\ 2i-1}, e_{1\ 2j-1}, T(U_1, T(e_{13}, e_{23}, T(U_1, e_{1\ 2j-1}, U_j)), U_j)) \\ &= T(e_{23}, e_{13}, e_{1\ 2i-1}) \\ &= T_{e_{23}e_{13}} T(U_1, T(U_1, e_{1\ 2i-1}, U_i), U_i) \\ &= -T(U_1, (T(e_{13}, e_{23}, T(U_1, e_{1\ 2i-1}, U_i)), U_i) \\ &= e_{2\ 2i-1}. \end{aligned}$$

Again

$$\begin{aligned} T(e_{1\ 2i-1}, e_{1\ 2j}, e_{2\ 2j}) &= T(T(U_1, e_{2\ 2i}, U_i), T(e_{13}, e_{23}, e_{2\ 2j}), e_{2\ 2j}) \\ &= -T(T(U_1, T(e_{13}, e_{23}, e_{2\ 2i}), U_i), e_{2\ 2j}, e_{2\ 2j}) \\ &= T(e_{2\ 2i-1}, e_{2\ 2j}, e_{2\ 2j}) \\ &= e_{2\ 2i-1}. \end{aligned}$$

The same method of proof shows that  $T(e_{1\ 2i}, e_{1j}, e_{2j})=e_{2\ 2i}$  for  $j \neq 2i$ . The remaining four cases follow by taking inner products and (iv) is proved.

(iv) As a consequence of (ii) and (iii)  $T(e_{1j}, e_{1i}, e_{2j})=0$  if  $i \neq j$ . Then (iv) follows from (i) of Lemma 2.7.

For some positive integer  $r \leq n$  let  $A = \{\varepsilon_{ij} : 1 \leq i < j \leq r\}$  be an orthonormal subset of  $\mathcal{A}$ , define  $\varepsilon_{ji} = -\varepsilon_{ij}$  for  $1 \leq i < j \leq r$  and write  $\tilde{A} = \{\varepsilon_{ji} : 1 \leq i < j \leq r\}$ . We call such a subset  $A$  regular if the following three relations hold for all vectors in  $A \cup \tilde{A}$ :

$$R_1: T(\varepsilon_{ij}, \varepsilon_{kl}, X) = 0 \quad \text{for all } X \in A \text{ if } i, j, k, l \text{ are distinct};$$

$$R_2: T(\varepsilon_{ij}, \varepsilon_{ik}, \varepsilon_{il}) = \varepsilon_{ij}\delta_{kl} + \varepsilon_{il}\delta_{jk};$$

$$R_3: T(\varepsilon_{lk}, \varepsilon_{ij}, \varepsilon_{ij}) = \varepsilon_{lk} \quad \text{if } i \neq l \text{ and } j \neq k;$$

We write  $JA = \{J\varepsilon_{ij} : \varepsilon_{ij} \in A\}$  and call  $A \cup JA$  a regular basis if it is a basis and  $A$  is regular.

Next, for  $i=1, 2$  define  $e_{ij}$  as in Lemma 2.10 and  $e_{12}=U_1$ ; also write  $e_{ji} = -e_{ij}$  for  $i=1, 2$  and  $j=1, \dots, 2m$ . Then define

$$e_{ij} = T(e_{1i}, e_{12}, e_{2j})$$

for  $2 \leq i \leq 2m$  and  $2 < j \leq 2m$ , noting the consistency when  $i=2$ , and write  $B = \{e_{ij} : 1 \leq i < j \leq 2m\}$ . Finally, define  $e = (1/\sqrt{m})(U_1 + \dots + U_m)$ ; thus  $e$  is a unit

vector satisfying  $T(e, e, e) = (2/m)e$  and  $\dim A^e = 2m(2m-1)$  from Lemma 2.4.

**Proof of Proposition 2.1.** We first prove that  $A$  admits a regular basis  $C \cup JC$  by showing that  $B \cup JB$ , for  $n$  even, or a suitable extension of  $B \cup JB$ , for  $n$  odd, forms such a basis. The proof is completed by considering the action of  $T$  on  $C \cup \tilde{C}$ .

To prove that  $B$  is regular we begin by showing that  $B$  is orthonormal with  $e_{ij} = -e_{ji}$  for  $1 \leq i, j \leq 2m$  and that  $U_i = e_{2i-1, 2i}$  for  $i = 1, \dots, 2m$ . Thus, for  $2 < i, j, k, l \leq 2m$ ,

$$\begin{aligned} \langle e_{ij}, e_{kl} \rangle &= \langle T(e_{1i}, e_{12}, e_{2j}), T(e_{1k}, e_{12}, e_{2l}) \rangle \\ &= \langle T(e_{12}, e_{1i}, T(e_{1k}, e_{12}, e_{2l})), e_{2j} \rangle \\ &= \delta_{ik} \langle e_{2l}, e_{2j} \rangle - \delta_{il} \langle e_{2k}, e_{2j} \rangle \\ &= \delta_{ik} \delta_{jl} - \delta_{il} \delta_{jk}. \end{aligned}$$

Also, for  $2 < i < j$ ,  $e_{2j} \in A_{e_{1i}}^0$  so from Lemma 2.8,  $e_{ij}$  is a unit vector. This proves the orthogonality of  $B$  and the relation  $e_{ij} = -e_{ji}$ . In particular,  $e_{ii} = 0$  for  $i = 1, \dots, 2m$ . Next, for  $i > 1$ ,

$$\begin{aligned} e_{2i-1, 2} &= T(e_{1, 2i-1}, e_{12}, e_{2, 2i}) \\ &= T_{e_{1, 2i-1} e_{12}} T(U_1, e_{1, 2i-1}, U_i) \\ &= U_i \end{aligned}$$

as required.

Next we prove the relations

$$(2.8) \quad T(U_i, e_{2i-1, 2j-1} U_j) = e_{2i, 2j}, \quad T(U_i, e_{2i-1, 2j}, U_j) = -e_{2i, 2j-1}.$$

We may assume  $i > 1$ . Then

$$\begin{aligned} 0 &= T_{e_{12} e_{2, 2j-1}} T(U_i, e_{1, 2i-1}, U_j) \\ &= -T(U_i, e_{2i-1, 2j-1}, U_j) + T(U_i, e_{1, 2i-1}, e_{1, 2j}). \end{aligned}$$

Now  $e_{1, 2j} \in A_{\hat{U}_i}^0$  so by considering  $\langle T(U_i, e_{1, 2i-1}, e_{1, 2j}), e_{2i, 2j} \rangle$  and using Lemma 2.8 it follows that  $T(U_i, e_{1, 2i-1}, e_{1, 2j}) = e_{2i, 2j}$  which proves the first part of (2.8); the second part is proved similarly. We now prove  $B$  regular by considering  $R_1, R_2, R_3$ .

$R_1$ : This is immediate using  $R_2$  above, (i) of Lemma 2.7 and (v) of Lemma 2.8.

$R_2$ : We first note as a consequence of Lemma 2.8 and 2.9 that  $A^e$  is the orthogonal direct sum of subspaces  $A_{\hat{U}_i}^e$  and  $A_{\hat{U}_i}^1 \cap A_{\hat{U}_j}^1$ ,  $i \neq j$ , for  $i, j = 1, 2, \dots, m$ . Then, from (2.8)  $B \subset A^e$ . Now, for  $1 \leq i < j \leq m$  and  $X \in B \cap A_{\hat{U}_i}^1 \cap A_{\hat{U}_j}^1$ , the orthonormal set  $\{U_1, \dots, \hat{U}_i, \dots, \hat{U}_j, \dots, U_m, X, T(U_i, X, U_j)\}$  satisfies the same rela-

tions as those of  $\{U_1, \dots, U_m\}$  in Lemma 2.9. Hence  $\dim(A_X \cap A^e) = \dim(A_{U_1}^1 \cap A^e) = \dim \bigoplus_{i=2}^m (A_{U_1}^1 \cap A_{U_i}^1) = 8(m-1)$  and it follows using  $R_1$  that if  $e_{ij} \in B$  then  $A_{e_{ij}}^1 \cap A^e$  is generated by

$$\{e_{lm}, J_{e_{lm}} : l=i \text{ or } j\} \setminus \{e_{ij}, e_{ji}, J_{e_{ij}}, J_{e_{ji}}\}.$$

This result together with (ii) of Lemma 2.7 gives  $R_2$ .

$R_3$ : Suppose  $2 \leq i, l \leq 2m$  and  $2 < j, k \leq 2m$ . Then it is easy to verify that  $e_{ij}e_{lk}, e_{ij} \in B \cup B'$  and  $i \neq l, j \neq k$

$$\implies T(e_{2j}, e_{2k}, e_{lk}) = e_{lj}$$

$$\implies \langle T(e_{2j}, e_{lj}, e_{lk}), e_{2k} \rangle = 1$$

$$\implies T(e_{2j}, e_{lj}, e_{lk}) = e_{2k}$$

$$\implies T(e_{li}, e_{li}, T(e_{2j}, e_{lj}, e_{lk})) = e_{lk}$$

$$\implies T(e_{ij}, e_{lj}, e_{lk}) = e_{ik}.$$

The same method also establishes that for  $i=1$  and  $R_3$  is then proved.

Finally, we note from Lemma 2.8 that each  $A_{U_i}^1 \cap A_{U_j}^1, i \neq j$ , has an orthonormal basis  $\{e_{\alpha\beta}, J_{e_{\alpha\beta}} : 2i-1 \leq \alpha \leq 2i \text{ and } 2j-1 \leq \beta \leq 2j\}$ . It then follows immediately from the proof of  $R_4$  that

$$B \cup JB = \{e_{ij}, J_{e_{ij}} : 1 \leq i < j \leq 2m\}$$

is an orthonormal basis for  $A^e$ , hence for  $A$  if  $n=2m$ . Next we assume that, in Proposition 2.1,  $n=2m+1$  where  $m \geq 1$ . We wish to extend the above basis for  $A^e$  to a basis for  $A$ . In using the previous notation we will often replace  $e_{2i-1, 2i}$  by the less cumbersome  $U_i$ .

First we show that  $A_e^{1/m} = \bigoplus_{i=1}^m (A_e^{1/m} \cap A_{U_i}^1)$ . Thus, it is clear that since each  $T_{U_j U_j}$  is positive semi-definite then, for  $1 \leq i, j \leq m$  and  $i \neq j$ ,  $(A_e^{1/m} \cap A_{U_i}^1) \subset A_{U_j}^0$ . Now  $A = A^e \oplus A_e^{1/m}$  since  $A^e$  and  $A_e^{1/m}$  are orthonormal and  $\dim A^e = 2m(2m-1)$ ,  $\dim A_e^{1/m} = 4m$ . Also for each  $U_i$ ,  $\dim A_{U_i}^1 = 4(2m-1)$  and  $\dim(A^e \cap A_{U_i}^1) = 8(m-1)$  hence  $\dim(A_e^{1/m} \cap A_{U_i}^1) = 4$ . The required direct sum decomposition follows immediately and is clearly orthogonal. Next we show that  $A_e^{1/m} \cap A_{U_i}^1 \subset D$  for each  $i$  and  $A_e^{1/m} = A^X \oplus (A_X^1 \cap A_e^{1/m})$  for each unit vector  $X \in A_e^{1/m} \cap A_{U_i}^1$ . Clearly, it is sufficient to consider the case when  $i=1$ . Thus for each unit vector  $X \in A_e^{1/m} \cap A_{U_1}^1$  we have  $T_X^X(A^e) = \{0\}$  since  $A_0^e = \{0\}$ , also  $T_X^X(A_{U_i}^1) = \{0\}$  for  $i \neq 1$  since  $X \in A_{U_1}^0$ . Hence  $A^X \subset A_e^{1/m} \cap A_{U_1}^1$  and from (ii) of Lemma 2.5,  $A^X \cap D \neq \{0\}$ , so we may assume  $X \in D$ . Again, if  $Y \in A_e^{1/m} \cap A_{U_1}^1$  and is orthogonal to  $X$  and  $JX$  then it follows by taking inner products of  $T(Y, Y, Y)$  with  $X$  and  $JX$  that  $Y \in D$ . Hence, since  $Y \in A_X^1$  we see that  $A_e^{1/m} \cap A_{U_1}^1 \subset D$ . Now for  $i \neq 1$   $T_{XX}$

restricts to an endomorphism of  $A_{\bar{v}_1}^1 \cap A_{\bar{v}_i}^1$  and for each  $Y \in A_{\bar{v}_1}^1 \cap A_{\bar{v}_i}^1$ ,

$$T_{XX}T(U_1, Y, U_2) = T(U_1, Y, U_i) - T(U_1, T(X, X, Y), U_i)$$

which together with Lemma 2.8 implies  $\dim(A_X^1 \cap A_{\bar{v}_1}^1 \cap A_{\bar{v}_i}^1) = 4$ . Hence  $\dim(A_X^1 \cap A_{\bar{v}_1}^1 \cap A^e) = 4(m-1) + 2 = 4m - 2$ . Also  $X \in A_{\bar{v}_i}^0$  for  $i \neq 1$  so from (v) of Lemma 2.8  $A^e \cap A_{\bar{v}_1}^0 \subset A_X^0$ . We now have  $\dim A_X = 8m - 4$  and  $\dim(A^e \cap A_X^1) = 4m - 2$ . Hence  $\dim(A_e^{1/m} \cap A_X^1) = 4m - 2$  from which  $A_e^{1/m} = A^X \oplus (A_X^1 \cap A_e^{1/m})$  as required.

In order to obtain a regular basis for  $A$  we first assume  $n \geq 5$  and choose a unit vector  $e_{1n} \in A_e^{1/m} \cap A_{\bar{v}_1}^1$ . Then  $A_{\bar{v}_1}^1 \cap A_{\bar{v}_2}^1 \cap A_{e_{1n}}^1 \subset D$  and has dimension 4. Choose unit vectors  $e_{13}, e_{14} \in A_{\bar{v}_1}^1 \cap A_{\bar{v}_2}^1 \cap A_{e_{1n}}^1$  with  $e_{14}$  orthogonal to  $\{e_{13}, Je_{13}\}$ ; thus  $e_{14} \in A_{e_{13}}^1$ . Now if  $3 \leq i \leq m$  then  $A_{\bar{v}_1}^1 \cap A_{\bar{v}_i}^1 \cap A_{e_{13}}^1 \subset A_{e_{1n}}^1$ . For let  $X \in A_{\bar{v}_1}^1 \cap A_{\bar{v}_i}^1 \cap A_{e_{13}}^1$  be a unit vector. Then  $X \in D$  and if  $X \in A_{e_{1n}}^0$  we have  $T(X, e_{13}, e_{1n}) \neq 0$ ; but  $T(X, e_{13}, e_{1n}) \in A_{\bar{v}_2}^1 = \{0\}$  and it follows that  $X \in A_{e_{1n}}^1$  as required. Then define  $e_{23}, e_{24}$ , and  $e_{1, 2i-1} \in A_{\bar{v}_1}^1 \cap A_{\bar{v}_i}^1 \cap A_{e_{13}}^1$ ,  $e_{2, 2i} = T(U_1, e_{1, 2i-1}, U_i) \in A_{e_{11}}^0$  as before. Finally, by considering  $T(e_{15}, e_{1n}, e_{14})$  we see that  $e_{14} \in A_{e_{15}}^1$  and the previous proof can now be applied to obtain the sets  $B, \tilde{B}$  as above. Next define  $e_{2n} = T(e_{23}, e_{13}, e_{1n})$ . Clearly  $e_{2n} \in A_e^{1/n} \cap A_{\bar{v}_1}^1$  and is a unit vector orthogonal to  $\{e_{1n}, Je_{1n}\}$ . Define  $e_{n1} = -e_{1n}$ ,  $e_{n2} = -e_{2n}$ ,  $e_{nn} = 0$ ,  $e_{1n} = T(e_{1i}, e_{12}, e_{2n})$  and  $e_{ni} = T(e_{1n}, e_{12}, e_{2i})$  for  $3 \leq i \leq 2m$ . We now show that relations  $R_1, R_2, R_3$  above extend to include vectors  $e_{ij}$  where  $i = n$  or  $j = n$ . As the method of proof is unchanged we give only brief details. Clearly the set  $A = \{e_{ij} : 1 \leq i < j \leq n\}$  is orthonormal, and by considering the inner product  $\langle e_{in}, e_{ni} \rangle$  we see that  $e_{ni} = -e_{in}$ . Define  $\tilde{A} = \{e_{jt} : 1 \leq i < j \leq n\}$  as before. Now (v) of Lemma 2.8 shows that if  $i, j, k, n$  are distinct then  $T(e_{ij}, e_{kn}, X) = T(e_{kn}, e_{ij}, X) = 0$  for all  $X \in A$ . Thus  $R_1$  is established for  $A \cap \tilde{A}$ . Next we note that  $e_{in} \in A_{e_{ij}}^1$  hence it follows from Lemma 2.7 that  $R_2$  extends to all  $e_{ij} \in A \cap \tilde{A}$ . Finally for  $R_3$  the relation  $T(e_{\alpha k}, e_{\alpha n}, e_{\beta n}) = e_{\beta k}$  is easily proved when  $1 \leq \alpha, \beta \leq 2$  and  $\alpha \neq \beta$ . From this it follows that  $T(e_{\alpha k}, e_{\beta k}, e_{\beta n}) = e_{\alpha n}$  since  $T(e_{\alpha k}, e_{\beta k}, e_{\beta n}) \in \text{span}\{e_{\alpha n}, Je_{\alpha n}\}$  and  $\langle T(e_{\alpha k}, e_{\beta k}, e_{\beta n}), e_{\alpha n} \rangle = 1$ . Similarly, we obtain  $T(e_{ij}, e_{\alpha j}, e_{\alpha n}) = e_{in}$ ,  $T(e_{\alpha j}, e_{ij}, e_{in}) = e_{\alpha n}$  and finally  $T(e_{ij}, e_{kj}, e_{kn}) = e_{in}$  for all vectors  $A \cup \tilde{A}$  where  $i \neq k$  and  $i, j, k < n$ . Also, by taking inner products, as before, we have  $T(e_{ij}, e_{in}, e_{kn}) = e_{kj}$  which proves the extension of  $R_3$  to  $A \cup \tilde{A}$ . The remaining case corresponding to  $n = 3$  follows trivially by choosing unit vectors  $e_{13}, e_{23} \in A_{\bar{v}_1}^1$  with  $e_{23}$  orthogonal to  $\{e_{13}, Je_{13}\}$ . Next, we note that in all cases  $Je_{ij}$  and  $e_{im}$  are orthogonal, for

$$\langle Je_{ij}, e_{im} \rangle = -\frac{1}{2} \langle T(e_{ij}, Je_{ij}, e_{ij}), e_{im} \rangle$$

$$\begin{aligned}
&= -\frac{1}{2} \langle T(e_{ij}, e_{im}, e_{ij}), Je_{ij} \rangle \\
&= 0.
\end{aligned}$$

Thus  $A$  is the required extension of  $B$  so we have proved that if  $\dim A = n \geq 3$  then  $A$  contains a regular basis, we write this as  $C \cup JC = \{e_{ij}, Je_{ij} : 1 \leq i < j \leq n\}$  for all  $n \geq 3$ .

To obtain the required isomorphism we defined an inner product  $\langle, \rangle'$  on  $S(n)$  by  $\langle X, X \rangle' = 1/2 \operatorname{tr} X\bar{X}$  and choose an orthonormal basis  $C' = \{e'_{jk}, ie'_{jk} : 1 \leq j < k \leq n\}$  for  $S(n)$  where  $e'_{jk} = (a_{pq}) = (\delta_{pj}\delta_{qk} - \delta_{qj}\delta_{pk})$ . Also define  $e'_{kj} = -e'_{jk}$  for  $j < k$ . Let  $\varphi: A \rightarrow S(n)$  be the isomorphism defined by  $\varphi(e_{jk}) = e'_{jk}$ ,  $\varphi(Je_{jk}) = ie'_{jk}$ , thus  $\varphi$  preserve inner products and is a complex linear isomorphism with respect to the complex structures  $J$  and  $i$  on  $A$  and  $S(n)$  respectively. Define the tensor  $T'$  of type  $(1, 3)$  on  $S(n)$  by  $T'(X, Y, Z) = X\bar{Y}^t Z + Z\bar{Y}^t X$ . Then, as in §1,  $P_1, P_2, P_3, P_4$  are satisfied by  $T'$ . Also the relations  $R_1, R_2, R_3$  are satisfied by  $T'$  and the basis  $C' \cup \tilde{C}'$  where  $\tilde{C}' = \{e'_{kj} : 1 \leq j < k \leq n\}$ . It is also clear from these relations that  $\varphi T(U, V, W) = T'(U', V', W')$  for any basis vectors  $U, V, W \in C$  with images  $U', V', W' \in C'$ . This completes the proof of Proposition 2.1.

### 3. Proof of main theorem

We first prove two lemmas for which we use the notation and the regular basis  $C \cup JC$  from the proof of Proposition 2.1.

**Lemma 3.1.** *Let  $R$  be a tensor of type  $(1, 3)$  on  $A$  with the symmetry properties of a Riemannian curvature tensor and satisfying  $\langle R(JX, JY)Z, W \rangle = \langle R(X, Y)Z, W \rangle$  on  $A$ , where we use the notation from [3] for  $R$ . Suppose for each  $X \in D$  and  $Y \in A$  orthogonal to  $X$ ,  $\langle R(X, JX)X, JY \rangle = 0$ . Then the holomorphic sectional curvature determined by  $R$  is constant on  $D \setminus \{0\}$ .*

**Proof.** Write  $K(X)$  for the holomorphic sectional curvature for any non-zero vector  $X \in A$ . Let  $\Omega \subset D$  be a  $J$ -invariant subspace of  $A$  of dimension  $\geq 3$  and let  $X, Y$  be orthogonal unit vectors in  $\Omega$ . Then, by hypothesis,  $\langle R(X+Y), J(X+Y)(X+Y), J(X-Y) \rangle = 0$  and it follows easily that  $K(X) = K(Y)$ . Next, if  $X, Y$  are arbitrary unit vectors in  $\Omega$  choose a unit vector  $Z \in \Omega$  orthogonal to  $X$  and  $Y$  to obtain  $K(X) = K(Z) = K(Y)$ . Thus  $K$  is constant on  $\Omega \setminus \{0\}$ . Next, from Lemma 1.1 and the isomorphism  $\varphi: A \rightarrow S(n)$ ,

$$D = \left\{ \sum_{1 \leq j < k \leq n} (a_j + b_j J)(c_k + d_k J) e_{jk} : a_j, b_j, c_k, d_k \in \mathbf{R} \right\}.$$

Hence if  $X = \sum_{1 \leq j < k \leq n} (a_j + b_j J)(c_k + d_k J)e_{jk}$  then  $X \in \text{span}\{X_j, JX_j : j=1, \dots, n-1\} \subset D$  where  $X_j = \sum_{j < k \leq n} (c_k + d_k J)e_{jk}$  so  $K(X) = K(\sum_{k=2}^n (c_k + d_k J)e_{1k})$ . Again,  $\sum_{k=1}^n (c_k + d_k J)e_{1k} \in \text{span}\{e_{1k}, Je_{1k} : k=2, \dots, n\} \subset D$  so  $K(X) = K(e_{12})$  which proves  $K$  is constant on  $D \setminus \{0\}$ .

**Lemma 3.2.** *With  $R$  defined as in the previous lemma, suppose  $R(X, JX)X = 0$  and  $R(X, Y)T = 0$  for all  $X, Y \in D$ . Then  $R = 0$  on  $A$ .*

**Proof.** We first show that if  $\Omega$  is any  $J$ -invariant subspace of  $A$  contained in  $D$  then

$$(3.1) \quad R(\Omega, \Omega)\Omega = 0.$$

Thus, by linearising the equation  $R(X, JX)X = 0$  we obtain

$$R(X, JX)Y + 2R(X, JY)X = 0$$

for all  $X, Y \in \Omega$ . This together with the Bianchi identity and the  $J$ -invariance of  $\Omega$  implies  $R(X, Y)X = 0$ . On replacing  $X$  by  $X+Z$  in this equation, (3.1) follows. In particular, for each  $j=1, \dots, n$  we write  $\Omega_j = \text{span}\{e_{ij}, Je_{ij} : i=1, \dots, n\}$ . Clearly  $\Omega_j$  is a  $J$ -invariant subspace of  $A$  contained in  $D$  so

$$(3.2) \quad R(\Omega_j, \Omega_j)\Omega_j = 0.$$

Next, the condition  $R(X, Y)T = 0$  implies that for any  $U \in D$  and  $X, Y \in A$ ,

$$\begin{aligned} R(X, Y)U &= R(X, Y)T(U, U, U) \\ &= 2T(R(X, Y)U, U, U) + T(U, R(X, Y)U, U) \end{aligned}$$

so from Lemma 2.7.  $R(X, Y)U \in A_U$ . Hence, as a consequence of  $R_1, R_2, R_3$ ,

$$(3.3) \quad R(X, Y)e_{ij} \in \Omega_i + \Omega_j.$$

Then it follows from (3.2) and (3.3) that on  $C \cup \tilde{C}$ ,

$$(3.4) \quad \begin{aligned} R(e_{ij}, e_{kl})e_{ij} &= R(e_{ij}, Je_{kl})e_{ij} \\ &= R(e_{ij}, e_{kl})e_{ik} = 0. \end{aligned}$$

We next prove that each  $R(\Omega_i, A)\Omega_i = 0$  by first noting that the vectors  $e_{ij} + e_{ik}, e_{pj} + e_{pk}$  generate a subspace of  $A$  contained in  $D$ . Hence from (3.1) and (3.4)

$$\begin{aligned} 0 &= R(e_{ij} + e_{ik}, e_{pj} + e_{pk})(e_{ij} + e_{ik}) \\ &= R(e_{ij}, e_{pj})e_{ik} + R(e_{ik}, e_{pk})e_{ij}. \end{aligned}$$

From (3.2), (3.3) and (3.4)  $R(e_{ij}, e_{pj})e_{ik} \in A_k \cap A_j^\dagger$  and  $R(e_{ik}, e_{pk})e_{ij} \in A_j \cap A_k^\dagger$

where  $A_j^\perp, A_k^\perp$  denote orthogonal complements of  $A_j, A_k$ . Hence

$$(3.5) \quad R(e_{ij}, e_{pj})e_{ik}=0.$$

By applying the same proof to  $J(e_{ij}+e_{ik})$  and  $e_{pj}+e_{pk}$  we obtain

$$(3.6) \quad R(e_{ij}, Je_{pj})e_{ik}=0.$$

Again, if  $i, j, p, k$  are distinct then (3.3) implies that for all  $X, Y \in A$ ,

$$\begin{aligned} \langle R(e_{ij}, e_{pk})X, Y \rangle &= \langle R(Y, X)e_{pk}, e_{ij} \rangle \\ &= 0. \end{aligned}$$

Thus for  $i, j, p, k$  distinct,

$$(3.7) \quad R(e_{ij}, e_{pk})=0$$

and similarly,

$$(3.8) \quad R(e_{ij}, Je_{pk})=0.$$

Then as a consequence of (3.2), (3.5), (3.6), (3.7) and (3.8) each  $R(\Omega_i, A)\Omega_i=0$ . Also, the Bianchi identity shows that  $R(\Omega_i, \Omega_i)A=0$ , and this equation together with (3.7) and (3.8) proves that  $R(X, Y)Z=0$  for all  $X, Y, Z \in C$ . Since  $C$  is a basis then  $R=0$  on  $A$  as required.

**Proof of Theorem 1.4.** Under the conditions of the theorem, suppose the unit vector  $N_m \in M_m$  satisfies  $T(N_m, N_m, N_m)=2N_m$  and let  $N$  be the unit tangent field to geodesic  $\gamma$  through  $m$  with initial tangent vector  $N_m$ . Then  $T(N, N, N)=2N$  along  $\gamma$  and, from  $P_6$ ,  $AJN=fJN$  along  $\gamma \setminus \{m\}$ . From this and (1.5) it follows immediately that if  $Y$  is any parallel vector field along  $\gamma$  orthogonal to  $N$  then  $g(R(N, JN)N, JY)=0$  on  $\gamma \setminus \{m\}$  and hence at  $m$  by continuity.

Now consider  $M_m$  as the vector space  $A$  in Proposition 2.1. The tensor  $T$  at  $m$  satisfies  $P_1-P_4$  and, as just shown, for each  $X \in D$  and  $Y$  orthogonal to  $X$ ,  $g(R(X, JX)X, JY)=0$ . Hence, from Lemma 3.1 the holomorphic sectional curvature on  $D \setminus \{0\}$  is constant, say  $c$ , and then for all unit vectors  $X \in D$ ,  $R(X, JX)X=-cJX$ . Next it is clear from Proposition 2.1 and equation (1.2) that a second curvature tensor  $R'$  is defined on  $M_m$  by

$$(3.9) \quad R'(X, Y)Z=T(X, Y, Z)-T(Y, X, Z)$$

and  $R'$  also satisfies the conditions of Lemma 3.1 with respect to the given complex structure  $J$  on  $M$  restricted to  $M_m$ . Moreover, from (1.4),  $R'(X, JX)X=-2JX$  for any unit vector  $X \in D$ .

The tensor  $R-(c/2)R'$  then satisfies the conditions of Lemma 3.1 and Lemma 3.2, where we note that  $R(X, Y)T=0$  since  $T$  is parallel on  $M$  and

$R'(X, Y)T=0$  is the corresponding property on  $SO(2n)/U(n)$ . Thus from Lemma 3.2,

$$(3.10) \quad R = \frac{c}{2} R'$$

on  $M_m$ . Also the Ricci tensor  $S'$  corresponding to  $R'$  and the metric  $g$  at  $m$  is a multiple of  $g$  as can be seen either by direct computation or by noting that  $SO(2n)/U(n)$  is an Einstein space. Since  $m$  is arbitrary then, defining  $R'$  on  $M$  by (3.9), we see that on  $M$

$$(3.11) \quad R = FR'$$

for some function  $F$ . Similarly,  $S'$  extends to a parallel tensor field on  $M$  which is a multiple of  $g$ . Hence, from (3.11),  $(M, g)$  is an Einstein space and  $F=c/2$  on  $M$ . Then  $\nabla R = F\nabla R' = 0$  so  $(M, g)$  is a symmetric space where we assume, as in the theorem, that  $(M, g)$  is complete, simply connected and non-flat, that is  $c \neq 0$ .

It remains only to obtain (1.2) for a metric  $\bar{g}$  on  $M$  homothetic to  $g$ . Define  $\bar{g} = |c/2|g$  and  $\bar{T}(X, Y, Z) = |c/2|T(X, Y, Z)$  on  $M$ . Then  $P_1 - P_6$  are satisfied by  $\bar{g}$  and  $\bar{T}$ . Thus the conditions of the theorem still apply and since the curvature tensor corresponding to  $\bar{g}$  is still  $R$ , we have

$$(3.12) \quad R(X, Y)Z = \frac{c}{|c|} (\bar{T}(X, Y, Z) - \bar{T}(Y, X, Z))$$

for all vector fields  $X, Y, Z$  on  $M$ . Now assume  $c > 0$ . Then it is immediate from Proposition 2.1 and equations (1.1), (1.2) and (3.12) that the tangent spaces to  $SO(2n)/U(n)$  and  $M$  are related by a linear isomorphism which preserves inner products and the curvature tensors. Hence  $SO(2n)/U(n)$  and  $M$  are isometric since each is complete and simply connected [3]. When  $c < 0$  we have the corresponding result for the non-compact dual and the proof is complete.

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