

A COUNTEREXAMPLE TO A GENERALIZED LOOP THEOREM

By

WOLFGANG HEIL

(Received May 6, 1971)

In 1960 *J. Stallings* proved a combined form of *Dehn's lemma* and the loop theorem [2]. He also gave a counterexample to the following.

Conjecture: *If M is a compact 3-manifold and F a closed (tame) surface embedded in $\text{int } M$, such that $\ker(i_*: \pi_1(F) \rightarrow \pi_1(M)) \neq 1$ (where $i: F \rightarrow M$ denotes inclusion), then there exists a simple closed curve J on F such that $J \neq 1$ on F , and J bounds a non-singular disc D in M , with $D \cap F = \partial D = J$.*

If F is two-sided in M , this conjecture is true [1]. In *Stallings's* counterexample F is a non-orientable closed surface in an orientable 3-manifold.

In 1969 *Suzuki* proved the following theorem:

If $F_{p,q}$ is an orientable surface of genus p with q boundary components embedded in $\text{int}(M)$, such that $i_*: \pi_1(\text{int } F_{p,q}) \rightarrow \pi_1(M - \partial F_{p,q})$ is not an isomorphism (into), then there exists a simple closed curve J on $F_{p,q}$, $J \neq 1$ on $F_{p,q}$, and J bounds a non-singular disc D with $\text{int } D \subset M^3 - F_{p,q}$.

We remark that this theorem is true if $F_{p,q}$ is 2-sided embedded in M , ($F_{p,q}$ orientable or not), and give two counterexamples to the theorem if M is non-orientable.

1) $q=0$, $p=1$.

Let $M = P^2 \times S^1$ (P^2 is the projective plane). Let $\pi_1(M) = Z_2(\alpha) \times Z(\beta)$, where α is represented by a simple loop in P^2 and β by a simple loop in S^1 . Let $F_{1,0} = \alpha \times \beta$, a (one-sided) torus in M . Clearly $i_*: \pi_1(F_{1,0}) \rightarrow \pi_1(M)$ is not an isomorphism. If J is any closed curve on $F_{1,0}$ then $J \simeq m\alpha + n\beta$, $(m, n) = 1$.

If J bounds a disc in M , then $n=0$, $m \equiv 0 \pmod{2}$. But if $m \neq 0$ then J can not be represented by a simple closed curve. Thus there exists no simple closed curve as in the theorem.

2) $q=2$, $p=0$.

Again, let $M = P^2 \times S^1$. Let k be a simple arc in S^1 . Let $F_{0,2} = \alpha \times k$, a (one-sided) annulus in M . Then $i_*: \pi_1(\text{int } F_{0,2}) \rightarrow \pi_1(M - \partial F_{0,2})$ is not an isomor-

phism, for if t is a simple closed curve on $\text{int } F_{0,2}$ that generates $\pi_1(\text{int } F_{0,2})$, we have that $i(t)$ lies on a projective plane in $M - \partial F_{0,2}$ and therefore $i_*(t^2) = 1$.

If J is any simple closed curve on $F_{0,2}$ that is not $\simeq 1$ on $F_{0,2}$, we have $J \simeq \alpha$. But such a J can not bound any disc in M .

Remark. The second counterexample can clearly be modified by taking $M = P^2 \times I$ and letting $F_{0,2} = \alpha \times I$.

REFERENCES

- [1] S. Kinoshita, *On Fox's property of a surface in a 3-manifold*, Duke Math. J., Vol. 33 (1966), pp. 791-794.
- [2] J. Stallings, *On the loop theorem*, Ann. of Math., 72 (1960), 12-19.
- [3] S. Suzuki, *Note on bounded surfaces in a 3-manifold*, Yokohama Math. J. Vol. 17 (1969), pp. 93-98.

Florida State University
Tallahassee, Florida 32306
U. S. A.