

- 1 Title: Collision risk of White-fronted Geese with wind turbines
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12 **Abstract** Recently, to help curb anthropogenic climate change and fossil fuel depletion, there
13 has been a rapid increase in the number of wind farms being built worldwide. However, the
14 construction of wind farms in the foraging areas of raptors or along the routes of migratory
15 birds raises concerns about avian collisions and habitat loss. Here, we present an additional
16 situation in which avian collisions may present a problem. That is, when wind farms are built
17 between roosting and foraging areas of overwintering migratory birds, the bird flocks are forced
18 to pass through the farm each morning and evening. Indeed, at the Awara Wind Farm in Fukui
19 Prefecture, Japan, approximately three thousand White-fronted Geese *Anser albifrons frontalis*
20 inhabit the site where the installation of 10 wind turbines has recently been completed. The
21 collision risk posed by these turbines may affect the goose population. However, few studies
22 have examined the effects of wind farms on the flight patterns of geese, making it difficult for
23 stakeholders to achieve a consensus. The purpose of this study was to evaluate the avian
24 collision risk for geese at the Awara Wind Farm. A collision model based on goose avoidance
25 behavior was developed to estimate collision mortality, and an applied potential biological
26 removal (PBR) analysis was used to determine the maximum allowable collision mortality
27 (ACM) to maintain a sustainable goose population. The estimated annual collision mortality
28 was 0–2 geese, whereas the allowable collision mortality was 75 geese per year, suggesting that
29 the collision risk is sufficiently small for the population to persist. We also include a discussion
30 of an adaptive management plans for regulating wind turbine operations when the actual
31 collision mortality exceeds the socially acceptable level.

32 **Key words** *Anser albifrons frontalis*, Avoidance behavior, Collision risk, Potential biological
33 removal, Wind turbine

34 **Introduction**

35 The installation of renewable energy facilities is increasing throughout the world in an
36 effort to curb anthropogenic climate change and fossil fuel depletion. In Japan, the total number
37 of wind turbines and total amount of energy produce by wind power had reached 1,517 towers
38 and 1,854 MW, respectively, by March 2009 (NEDO 2009).

39 Despite an international consensus that wind energy is a positive development, wind
40 turbines impact the environment and its inhabitants, especially birds and bats. The major
41 potential risks that wind farms pose to birds are habitat loss, turbine collision, and disturbance
42 (Langston & Pullan 2003; Desholm et al. 2006; Drewitt & Langston 2006). However, in Japan,
43 there is a lack of empirical evidence with regard to the impact of avian collisions with wind
44 turbines, which has made it difficult for stakeholders to achieve a consensus on the issue.
45 Therefore, we evaluated the potential risk of avian collision at the recently completed Awara
46 Wind Farm in Fukui Prefecture, Japan. Mitigation measures that can be taken by wind farms to
47 maintain sustainable populations of birds are then discussed.

48 Wind farms are often in habitats that are suitable for birds and their migration routes
49 because open landscapes provide good wind conditions for turbines. Therefore, numerous
50 studies have investigated the risks of collision and disturbance of migratory birds on wind
51 farms. For example, Desholm and Kahlert (2005) reported that less than 1% of ducks and geese

52 fly close enough to turbines to risk collision, although a larger proportion of birds fly through
53 wind farms at night than during the daytime. The majority of studies on collision mortality have
54 reported low mortality for migratory birds (e.g., Smallwood & Thelander 2004; Pendlebury
55 2006). On the other hand, Larsen and Madsen (2000) showed a significant reduction in habitat
56 use by Pink-footed Geese *Anser brachyrhynchus* when wind farms were constructed close to
57 foraging areas, reporting that the avoidance distances from wind farms with turbines in lines
58 and in clusters were approximately 100 m and 200 m, respectively. However, the present study
59 does not focus on habitat loss, given that the proposed Awara Wind Farm is located sufficiently
60 far from roosting and foraging areas (Fig. 1).

61 Estimating avian collision risk is important for developing a management strategy and
62 establishing a consensus among stakeholders. Mechanistic models can help predict avian
63 collision risk with turbines at a given location. Desholm et al. (2006) developed a framework
64 for a collision model that considered flight frequency and avoidance behavior. Hydro Tasmania
65 (2004) and Band et al. (2007) proposed predictive collision models for the collision mortality of
66 migratory geese. However, their models assumed a direct positive relationship between
67 mortality and abundance and did not account for avoidance behavior. Avoidance rates have
68 been estimated at 95% or higher in most case studies (Chamberlain et al. 2006; Band et al.
69 2007). Seasonally migrating birds also frequently show avoidance behavior around wind
70 turbines (Desholm & Kahlert 2005; Pendlebury 2006). Although many studies highlight the
71 necessity of considering avoidance behavior in a collision model (Langston & Pullan 2003;

72 Chamberlain et al. 2006; Desholm et al. 2006; Band et al 2007; Kikuchi 2008; Lucas et al.
73 2008), to date, the avian avoidance factor has never been included in data-based models for
74 estimating the number of bird–turbine collisions. The collision model used in this study
75 incorporates two types of avoidance behaviors: the avoidance of the wind farm in general and
76 that of individual turbines.

77 It is important to evaluate the collision risk on population persistence. Carrete et al.
78 (2009) evaluated the effect of wind farms on a population of Egyptian Vultures *Neophron*
79 *percnopterus* taking into account survival rate and collision mortality. Shimada and Matsuda
80 (2008) proposed an adaptive management model to estimate uncertain bird strikes as the basis
81 for maintaining population by controlling the operation rate of wind turbines according to
82 collision mortality and population size. However, no study has estimated the allowable
83 collision mortality (ACM), defined as the degree to which a population can be sustained
84 relative to the number of collision mortalities incurred. Potential biological removal (PBR)
85 analysis was applied, which has been used for conserving endangered marine mammals (Wade
86 1998), to determine the ACM for this population. The PBR was developed to identify
87 populations subject to human-induced mortality that could lead to depletion, taking into
88 account the uncertainty of the available information (Wade 1998).

89 Finally, we evaluated the effect of temporarily shutting down some turbines on the
90 wind farm, an option that is likely important for the establishment of a consensus, on both avian
91 mortality and wind power generation. Temporary shutdown would be an effective mitigation

92 measure if collision risk is higher than that deemed acceptable (Hydro Tasmania 2004). When
93 the collision risk increases based on the seasonal activity patterns of birds (Smallwood et al.
94 2009) or inclement weather conditions such as strong winds or dense fog (Percival 2004),
95 temporary shutdown may be a sensible tradeoff between bird collision and complete loss of
96 power generation for the wind farm (Smallwood et al. 2009). An adaptive management
97 scenario that takes into account the ACM as well as the behaviors of White-fronted Geese and
98 business risk was devised.

99

100

MATERIALS AND METHODS

101 1) Study area

102 The study was conducted at the future site of the Awara Wind Farm at the northwest end of Lake
103 Kitakatako in the Fukui Prefecture, central Japan. It is situated between Lake Katanokamoike (a
104 goose roosting area) and Sakai Plain (a foraging area; Fig. 1). Ten turbines (each producing 2
105 MW with a 40-m blade length, 75-m hub height, and a three-blade rotor) were installed in the
106 wind farm, which will start commercial operations in February 2011 (Green Power Awara Co.,
107 Ltd. 2009).

108 Lake Katanokamoike was designated by the Ramsar Convention as an important
109 roosting area for White-fronted Geese wintering in Japan. The geese remain at the lake for
110 approximately 6 months (October to March) and forage mainly on the Sakai Plane, moving
111 between the two areas in the morning and evening. The wintering geese population at Lake

112 Katanokamoike has comprised approximately 3000 birds since 2002 (Fig. 2). The population
113 decreased before the hunting ban in 1971 and has gradually recovered since the 1980s
114 (Takeshita & Kurechi 2000).

115

116 2) Bird survey

117 Although environmental impact assessments of wind farms are not a requirement of the
118 environmental impact assessment law of Japan, the frequency of bird passage and evaluation of
119 collision risk were surveyed to establish a consensus among stakeholders who are concerned
120 about bird collisions. The company that owns the wind farm conducted meetings for this reason.

121 The survey was conducted from January to March 2006 and from November 2006 to March
122 2007 during the goose wintering periods at the planned site including Lake Kitakatako. Visual
123 observations were conducted in the morning and evening by expert bird watchers and three
124 video cameras (Fig. 3). Experts made observations on four and 10 mornings (from 1 h before to
125 2 h after sunrise), and three and 10 evenings (from 2 h before to 1 h after sunset) in the winter
126 seasons of 2005/06 and 2006/07, respectively. They also traced the flight trajectories of flocks
127 and estimated flock sizes. Observation via video camera was conducted on 38 mornings (from
128 30 min before to 90 min after sunrise) and 38 evenings (from 90 min before to 30 min after
129 sunset) in the 2006/07 winter season to determine the frequency and size of flocks passing by
130 the planned site. Experts collected data on population size, flight trajectory, height, and speed.
131 The range of observed flight height was categorized as <30 m, 30–110 m and >110 m. The site

132 area is surrounded by open landscape, which enabled observers to obtain precise data.

133 Knowledge of avoidance rates on a wind farm is imperative for evaluating collision
134 risk given that the avoidance rate may significantly reduce the collision risk. Desholm and
135 Kahlert (2005) analyzed the flight trajectories of water birds (mainly Common Eider *Somateria*
136 *mollissima* and geese) using a radar system on a wind farm and investigated the effect of
137 avoidance behavior on collision risk by comparing the frequencies of passage through the wind
138 farm before and after the farm was constructed.

139

140 3) Collision Model

141 It was assumed that the collision risk depends on the number of birds in flight at a given time at
142 the planned site. The collision model included the probabilities of passing through the planned
143 site (P_1), within the height of the rotor disk (P_2), within the area of the rotor disk (P_3), and the
144 probability of colliding with blades (P_4) as the geese were migrating in the morning and
145 evening. The collision model also included avoidance behavior data (Fig. 4).

146 Table 1 lists the symbols and parameters of the collision model. In the model, P_2
147 represents the frequency of geese passing through the site within a height of 35–115 m, which
148 was the planned height of the rotor disk. However, given that the turbine height was changed
149 after the survey was completed, the frequency was recalculated from the normal distribution
150 obtained by frequencies of geese passing within heights of <30 m, 30–110 m, and >110 m.

151 The model assumed that geese migrate between the roosting and foraging areas twice a

152 day, 180 days per year (6 months). S_i/S represents the frequency of birds passing through the
153 planned site, where S_i is the number of observations of birds flying over the planned site, and S
154 is the total number of observations. N_i/N represents the probability of a bird's passing through
155 the planned site per occurrence, where N_i is the average number of birds that flew over the
156 planned site, and N is the population size at Lake Katanokamoike. Therefore, the probability of
157 a bird passing over the planned site was:

$$158 \quad P_1 = (S_i / S)(N_i / N). \quad (1)$$

159 The height of flight was categorized as <35 m, 35–115 m, or >115 m. The probability
160 of a bird passing through at a 35–115 m height was:

$$161 \quad P_2 = F_v / F, \quad (2)$$

162 where F is the number of flocks, and F_v is the estimated flight frequencies between 35–115 m
163 height, as shown in Table 1.

164 The probability of a bird passing within the area of the rotor disk was:

$$165 \quad P_3 = T_r B_s / B_c, \quad (3)$$

166 and B_c , B_s , and T_r are defined in Table 1. It was assumed that wind turbines were installed in two
167 rows of five turbines each.

168 Tucker (1996) and Band et al. (2007) estimated the probability of avian collision with
169 blades without consideration of avoidance behavior, denoted here by P_4 . The equation used by
170 Band et al. (2007) was:

$$171 \quad P_4 = 2 \int_0^1 p(r) \left(\frac{r}{R} \right) d \left(\frac{r}{R} \right),$$

172
$$p(r) = (b\varpi / 2\pi v)(|c \sin \gamma + \alpha c \cos \gamma| + \xi), \quad (4)$$

173
$$\xi = l \text{ if } \alpha < l/w \text{ and } \xi = \alpha wf \text{ if } \alpha > l/w,$$

174 where r is the distance from the center of the rotor where a bird passes through or collides; α is
 175 the angle of invasion to the blade, $p(r)$ is the probability density function indicating that a bird
 176 passes through or collides at a distance r . The definitions of the remaining symbols used in Eq.
 177 (4) are shown in Table 1.

178 The Band et al. (2007) equation was used to obtain P_4 using the characteristics of the
 179 birds and wind turbines shown in Table 1. P_1 and P_2 represent the front of the planned site, and
 180 P_3 and P_4 represent the front of an individual turbine. Let T_c be the number of turbines in a row,
 181 and the collision probability within the entire planned site is given by $1 - (1 - P_3P_4)^{T_c}$. The
 182 estimated collision rate without avoidance behavior, denoted by C_n , was given by:

183
$$C_n = P_1P_2[1 - (1 - P_3P_4)^{T_c}]. \quad (5)$$

184 To evaluate avoidance probability with avoidance behavior for the planned site as well
 185 as individual turbines, the method of Desholm and Kahlert (2005) was applied. They evaluated
 186 the Nysted Offshore Wind Farm in Denmark, which comprises 72 turbines in nine rows of eight
 187 turbines (T_c^*) each. The distance between the rows (D_r) is 480 m, and the distance between
 188 turbines within a row (D_c) is 850 m. The avoidance probability in front of the wind farm was
 189 given by:

190
$$A_f = 1 - (P_o / P_p), \quad (6)$$

191 where P_p is the probability that a flock would enter the planned site during the pre-construction

192 period, and P_o is the probability that a flock would enter the planned site during operation. Let
 193 D_f be the distance between a bird flock and the nearest turbine tower, and the probability of a
 194 flock flying within D_f of at least one turbine is given by:

$$195 \quad P_c = 1 - [1 - (1 - A_t)(2D_f / D_r)]^{T_c^*}, \quad (7)$$

196 where A_t is the probability of a flock not flying within D_f of a turbine tower, i.e., the avoidance
 197 probability per turbine. Hence, A_t is given by:

$$198 \quad A_t = 1 - [1 - (1 - P_c)^{1/T_c^*}] (D_r / 2D_f). \quad (8)$$

199 Substituting P_1 and P_3 in Eq. (5) by $(1 - A_f)P_1$ and $(1 - A_t)P_3$, respectively, the collision rate with
 200 avoidance behavior, denoted by C_a , is given by:

$$201 \quad C_a = (1 - A_f)P_1P_2 \{1 - [1 - (1 - A_t)P_3P_4]^{T_c}\}. \quad (9)$$

202 The per capita collision probability in a wintering season without and with avoidance behavior,
 203 denoted by P_n and P_a , is given by:

$$204 \quad P_n = 1 - (1 - C_n)^{T_p} \text{ and } P_a = 1 - (1 - C_a)^{T_p}, \quad (10)$$

205 where T_p is the number of passages per year (~ 360). If geese do not form a flock when they pass
 206 through the wind farm, an individual could still collide with a turbine. Therefore, It was
 207 assumed that $x (= N_\bullet)$ is a number of bird collisions in a given year, obtained by the following
 208 binomial probability distribution:

$$209 \quad \Pr[N_\bullet = x] = \binom{N_\bullet}{x} P_\bullet^x (1 - P_\bullet)^{N_\bullet - x}, \quad (11)$$

210 where N_\bullet is N_n or N_a , and P_\bullet is P_n or P_a if avoidance behavior is ignored or incorporated,
 211 respectively.

212

213 4) Allowable Collision Mortality

214 It is necessary to evaluate the potential impact of a wind farm on population viability
215 (e.g., collision risk and habitat loss) and to assess environmental risk. Shimada and Matsuda
216 (2008) used PBR analysis as an indicator of the impact of a wind farm on a bird population.

217 PBR is defined as:

$$218 \quad PBR = N_{\min} \frac{1}{2} R_{\max} F_r, \quad (12)$$

219 where N_{\min} is the minimum population estimate, R_{\max} is the maximum net productivity level,
220 and F_r is a recovery factor of 0.1, 0.5, or 1 for endangered, vulnerable, or common species,
221 respectively (Wade 1998). The assumption of the ACM theory includes all types of
222 human-induced mortality. We assumed that human-induced mortality refers only to collision
223 mortality because we are not aware of any other major factors affecting the survival this species.
224 The validity of this value is discussed later.

225

226

RESULTS

227 1) Parameter Estimation

228 The observation was conducted for 52 mornings and 51 evenings in total. Flocks flew over the
229 planned site only twice per day, and the average flock size flying over the planned site was
230 approximately 200 birds. These data indicate that the main pathway of White-fronted Geese is
231 via Lake Kitakatako rather than through the planned site. The observed frequencies of passages

232 within heights of <30 m, 30–110 m and >110 m were 8, 105, and 20, respectively. The mean
233 height and its standard deviation of the best-fit model for these frequencies were 78.0 and 38.9
234 m, respectively. The predicted frequency of passages within a height of 35–115m was 80.2%.

235 A collision model was developed with four phases and two avoidance behaviors to
236 predict the annual collision mortality of geese at the proposed Awara Wind Farm. $P_1, P_2, P_3,$ and
237 P_4 were estimated at 0.11%, 80.2%, 13.4%, and 9.4%, respectively. The frequency of
238 White-fronted Geese flying over the planned site was surveyed in the 2005/06 and 2006/07
239 winter seasons.

240 Desholm and Kahlert (2005) suggested that the types of avoidance behaviors had a
241 large effect on the collision rate and estimated A_f and A_t to be 88.9% and 92.2%, respectively.

242

243 2) Estimated collision mortality and ACM

244 The collision risk when assuming avoidance behavior was sufficiently low but still
245 positive (see Table 2). The probability of no collision occurring in a year was 54.4 % (= $(1 -$
246 $P_a)^N$), and the expected number of collisions was 0–2 at the 95% confidence level. When
247 avoidance behavior was ignored, the expected number of collisions was 52–84.

248 PBR based on $N_{min}, R_{max},$ and F_r was applied to obtain the ACM. N and R_{max} were
249 estimated to equal 3507 and 0.092, respectively, by regression analyses using data for the
250 growth of the goose population at Lake Katanokamoike (Fig. 2). N_{min} is usually given by the
251 20th percentile of a log-normal distribution based on N ; therefore, the N_{min} used in this study was

252 3266. The value of F_r was 0.5 because White-fronted Geese are designated as a vulnerable
253 species in Fukui Prefecture and a Natural Monument species in Japan. The PBR at Lake
254 Katanokamoike was estimated at 75.4 using Eq. (12). This implies that collision events are
255 allowable until mortality reaches 75.4 geese per year. The estimated collision mortality would
256 be low and would not likely have a significant impact on the population of White-fronted Geese
257 if the geese indeed avoided the wind farm as assumed.

258

259 **DISCUSSION**

260 Turbine collision and the potential disturbance of daily migration were of great concern for this
261 geese population because a proposed wind farm was planned for an area between a major
262 roosting and a major foraging area. In addition, the roosting area at Lake Katanokamoike is
263 protected under the Ramsar Convention. The collision model developed here will be useful to
264 estimate the avian collision risk at future wind farms. The frequency of passage, flight behavior,
265 weather, and topography around the wind farm as well as the seasons must be considered
266 because collision mortality does not simply increase with abundance (Lucas et al. 2008). With
267 regard to flight behavior, our collision model assumed two types of avoidance behaviors:
268 avoidance of the wind farm in general and avoidance of individual wind turbines after birds
269 entered the farm. Desholm and Kahlert (2005) considered avoidance behavior using survey
270 data of geese and ducks for a wind farm in a migration route. Raptors, for example, such as
271 Burrowing Owls *Athene cunicularia hypugaea* flew close to operating turbine blades and

272 perched on the turbine (Smallwood et al. 2007). Conversely, geese tended not to enter the wind
273 farm between turbines arranged in a cluster (Larsen & Madsen 2000), and the percentage of
274 flocks entering the wind farm decreased significantly from pre-construction to initial operation
275 (Desholm & Kahlert 2005). Overall, the avoidance probability of raptors in their habitat is
276 notably lower than that of geese along migration routes. When developing a collision model for
277 raptors, it might be better to evaluate the time spent in the neighborhood of wind farms rather
278 than the frequency of passage.

279 The estimated collision risk considering avoidance behavior, based on unverified
280 assumptions and limited data, was 0–2 individuals per year (95% CI of N_a in Table 2). One
281 assumption of the model is that flocks fly through all sections of the wind turbines ($T_c = 5$) even
282 though they might actually only cross a corner or a portion of the wind farm. In addition, the
283 model assumed that birds would fly head on into rotor disks and that the turbines would be in
284 constant operation. These are major factors that could lead to overestimation of collision
285 mortality.

286 If 10 turbines were placed in one straight row, N_a and N_n would be 0.61 and 67.8,
287 respectively, and the predicted collision mortality would not change significantly with the
288 conformation of turbines. Desholm and Kahlert (2005) did not consider the vertical reach of
289 turbine blades or that birds may fly below or above a turbine's reach. These assumptions may
290 also result in overestimation of the calculated collision risk. On the other hand, the collision risk
291 would increase under poor visibility (Desholm & Kahlert 2005) or strong wind (Barrios &

292 Rodríguez 2004; Smallwood et al. 2009). However, it was observed that White-fronted Geese
293 often did not visit Sakai Plain in such adverse weather conditions. Finally, except during bad
294 weather conditions, avoidance behavior might reduce the collision risk, as birds may avoid
295 flying through bad weather conditions during seasonal migration (Newton 2007).

296 The calculated PBR may not represent a low enough agreeable threshold of collision
297 risk among stakeholders. For example, the former mayor of Kaga City argued that only a
298 collision risk of zero would be acceptable. This suggests that the purpose of calculating the
299 collision risk is not simply to maintain the local population. Given that White-fronted Geese are
300 listed as Near Threatened in Japan as well as Least Concerned in the IUCN Redlist and that the
301 population has been undergoing rapid recovery (Fig. 2), the recovery factor F_r was set to 1,
302 producing a PBR of 150.9, which is larger than the PBR when $F_r = 0.5$. However, even if this
303 species were considered endangered, i.e., $F_r = 0.1$, the PBR would be only 15 individuals per
304 year. PBR should include all human-induced mortality. However, the rates of other causes of
305 mortality, such as collision with electric cables, buildings, and cars, are not known. If the effect
306 of avoidance behavior is assumed as described above, the expected collision mortality caused
307 by the wind farm is much smaller than the PBR. Therefore, the expected collision mortality is
308 not a primary factor in population extinction risk.

309 The goal of developing a collision risk model is not only to estimate mortality level,
310 but also to evaluate the impacts on the population and help to devise a management strategy for
311 the wind farm. PBR was developed to identify populations subject to human-caused mortality

312 that could lead to depletion (Wade 1998). PBR has been used to guide management practices of
313 marine mammals and is designed to take into account the uncertainty of available information
314 and provide an appropriately conservative estimate (Wade 1998). Although the immigration for
315 R_{max} at Lake Katanokamoike was ignored, the growth rate of the White-fronted Goose in Japan
316 ($R_{max} = 0.11$: S. Moriguchi, unpublished) shows the same tendency as the value in this study.

317 It is necessary for stakeholders to come to a consensus on the wind farm construction
318 and management plan. If the actual collision mortality exceeds the socially acceptable level, or
319 if White-fronted Geese change their habitat from Lake Katanokamoike, the collision risk
320 should be reduced by controlling the operation rate of the wind turbines. Three ways to reduce
321 collision risk include shutting down wind turbines 1) when a large flock flies over the wind
322 farm, 2) when any flocks fly over the wind farm in the morning or evening, or 3) every
323 morning and evening during the wintering season.

324 Because birds flock and pass over Lake Kitakatako at predictable times within 30–90
325 min of sunrise and sunset, the above conservation measures are feasible and should not lead to
326 substantial loss in power generation or profit. Specifically, the cost of shutting down 10 turbines
327 for 30 minutes each day throughout the half year is 7.2 million yen under the following
328 conditions: the price of electrical power is 10 yen/kWh, the maximum output power is 2,000
329 kW/turbine, and the operation rate is 20%. In addition, an effective management strategy
330 should consider adjusting the operation rate with respect to actual mortality obtained by
331 successive monitoring (Shimada & Matsuda 2008). Because the Awara Wind Farm is planned

332 for an open landscape, it would be relatively easy to locate bird carcasses to determine the
333 actual number of avian collisions in the future.

334 Conservation measures such as temporary shutdowns may increase business risk and
335 discourage the future development of wind power plants. Shimada and Matsuda (2008)
336 provided an adaptive risk management assessment that takes operation control and
337 conservation measures into account. Matsuda et al. (1999) described the importance of
338 falsifiability when building a management strategy. Follow-up monitoring is essential for
339 accurate future estimations. It is important that the wind power project adopt further
340 conservation measures as discussed above if the actual risk exceeds the socially acceptable
341 level.

342 Climate change could lead to major declines in populations of long-distance migratory
343 birds as a result of a temporal mismatching between the seasonal activities of avian predators
344 and their prey (Both et al. 2006). Thus, there is a risk trade-off in wind power generation
345 between avian collisions and greenhouse gas emissions. This study emphasizes the importance
346 of risk evaluations for the adaptive management procedures of wind power projects.

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348

349

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355

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454

455 Fig. 1. Map of the study area and the planned Awara Wind Farm showing the positions of 10
456 turbines.

457

458 Fig. 2. The population size of White-fronted Geese at Lake Katanokamoike in wintering season.

459 The solid line represents the exponential regression curve ($R^2 = 0.88$, $n = 21$) from observation
460 data denoted by circles. The dotted lines indicate 95% confidence interval. Data source:

461 “Annual Report of Kaga City Kamoike Observation Center” by Wild Bird Society of Japan

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463

464 Fig. 3. Position and angle of three video cameras (a–c and A–C before and after 12 December
465 2007, respectively), observation points by two or three expert bird watchers (Sts 1, 3–6), and
466 the observation schedule in January, March, November, and December 2006 and February 2007.

467 All points were used in the preliminary research. The table shows the adopted observation
468 points for geese during each month of investigations.

469

470 Fig. 4. Flow chart consisting of the four phases of the collision model of flight probability

471 without avoidance behavior (i.e., P_1 , P_2 , P_3 and P_4) and with two types of avoidance probability

472 denoted by the avoidance probability in front of the wind farm and per turbine in the wind farm

473 (A_f and A_t respectively). The model considers the repetition for after birds pass by one turbine,

474 and T_c shows the total number of turbines in each row.

475 Table 1. List of symbols and values used in the collision model for the planned wind farm.

Symbol	Meaning and where used	Value
B_c	Cross-section area of the planned site with a height of 80 m and a width of 1,000 m	80000 m ²
B_s	Area swept by a rotor diameter of 82.6 m ^a	5359 m ²
F	Number of flocks that fly over the planned site and Lake Kitakatako	133 ^b
S_i	Number of observations that birds fly over the planned site	See Table 2
F_v	Estimated flight frequencies at 35–115-m height	106.7
N	Estimated population size at Lake Katanokamoike	3507
N_{min}	The 20 th percentile of a log-normal distribution based on the population size at Lake Katanokamoike	3266
N_i	Average number of birds that fly over the planned site	See Table 2
P_1	Probability of passing through the planned site	See Eq. (1)
P_2	Probability of passing within a height of 35–115 m	See Eq. (2)
P_3	Probability of passing within the area of the rotor disk	See Eq. (3)
P_4	Probability of colliding with blades while passing through a rotor disk	9.4% by Eq. (4)
S	Number of observations	See Table 2
T_c	Number of turbines in each row	5
T_n	Number of total turbines in the wind farm	10 ^c
T_p	Number of passages per individual (in the morning and evening) in a year	360
T_r	Number of turbine rows in the wind farm	2
Parameters for P_4		
f	Flight type (flapping = 1, gliding = $2/\pi$)	1 ^d
R	Rotor radius	41.3 m ^a
b	Number of blades	3 ^a
c	Maximum chord width	3 m ^a
l	Average body length of 64–78cm ^d	0.71 m
v	Average flight speed of outward (=59.1 km/h) and homeward (=56.2 km/h) journeys to foraging area ^e	16.0 m/s
w	Wing span	1.45 m ^d
γ	Pitch angle of blade	0.021 rad ^a
ω	Rotation speed	2.02 rad/s ^a
Parameters for avoidance behavior		
A_f	Avoidance probability in front of the wind farm	See Eq. (6)
A_t	Avoidance probability per turbine in the wind farm	See Eq. (8)
D_c	Distance between the turbines in a row (m)	850 m ^f
D_f	Distance between a bird flock and the nearest turbine tower (m)	50 m ^f
D_r	Distance between rows (m)	480 m ^f
P_c	Probability of a bird flock flying within D_f of a turbine tower	0.123 ^f
P_o	Probability of a flock entering the wind farm during operation	0.045 ^f
P_p	Probability of a flock entering the wind farm during pre-construction	0.404 ^f
T_c^*	Number of turbines in each row at Nysted Offshore Wind Farm	8 ^f

- 476 ^a 2 MW Permanent Magnet Synchronous Gearless Wind Turbine Generator by The Japan Steel
477 Works, Ltd.
- 478 ^b JPec Co., Ltd. (2006a; 2006b; 2007)
- 479 ^c Green Power Awara Co., Ltd. (2009)
- 480 ^d Svensson et al. (1999)
- 481 ^e Wild Bird Society of Japan (2010)
- 482 ^f Desholm and Kahlert (2005)

483 Table 2. Collision risk estimate of White-fronted Geese on the future Awara Wind Farm with
 484 and without avoidance behavior

Symbol	Meaning	Value	
		No avoid	Avoid
S_i	Number of days flying over the planned site	2 ^a	2 ^a
S	Number of observations	103 ^a	103 ^a
N_i	Average number of birds that fly over the planned site	200 ^a	200 ^a
P_1	Probability of passing through the planned site	0.11%	0.01%
C_n , or C_a	Collision rate without or with avoidance behavior	0.0054%	0.000048%
P_n , or P_a	Per capita collision probability in a wintering season without or with avoidance behavior	1.94%	0.017%
N_n , or N_a	Number of bird collisions without or with avoidance behavior in a given year	68.0	0.61
95% CI of N .	95% confidence interval of N_n or N_a	52–84	0–2

485 N is N_n or N_a . P_1 , C_n , and C_a , are obtained by Eqs. (1), (5), and (9). P_n and P_a are obtained by Eq.
 486 (10). N_n and N_a , and its 95% confidence interval are obtained by Eq. (11).

487 ^a JPec Co., Ltd. (2006a; 2006b; 2007)

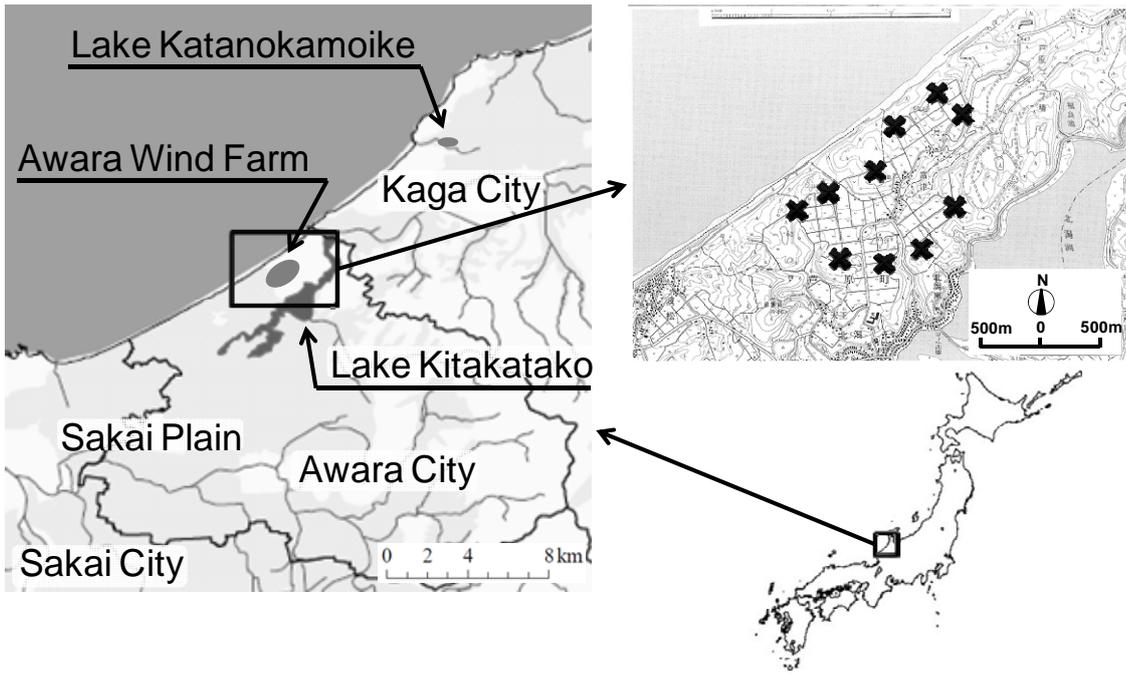


Fig. 1

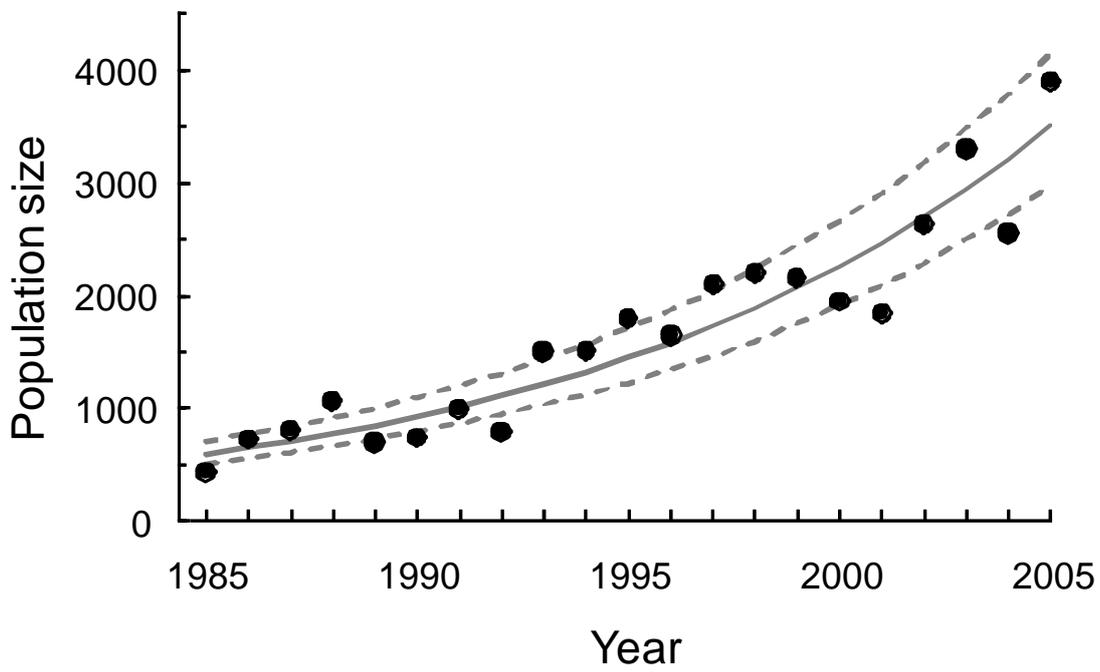


Fig. 2

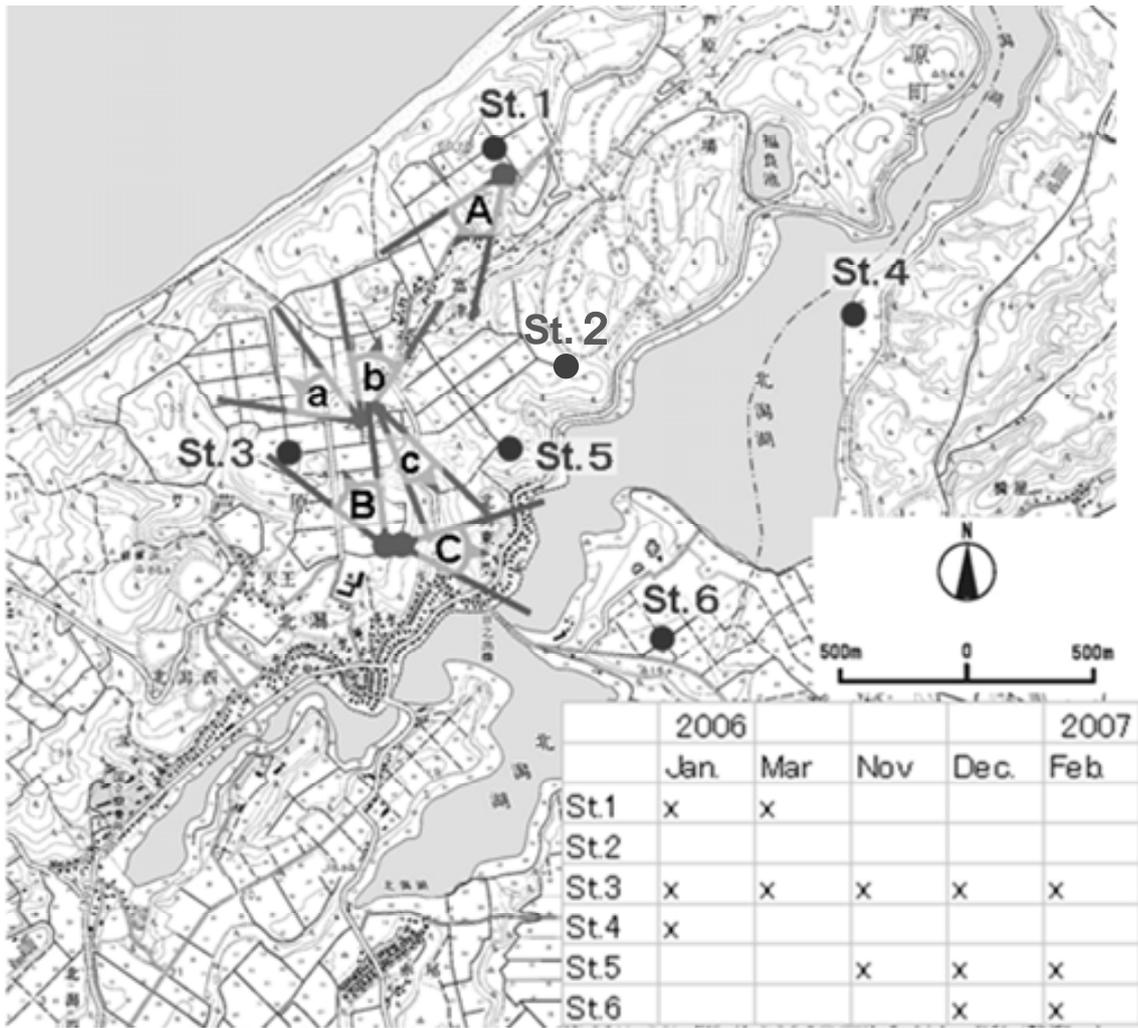


Fig. 3

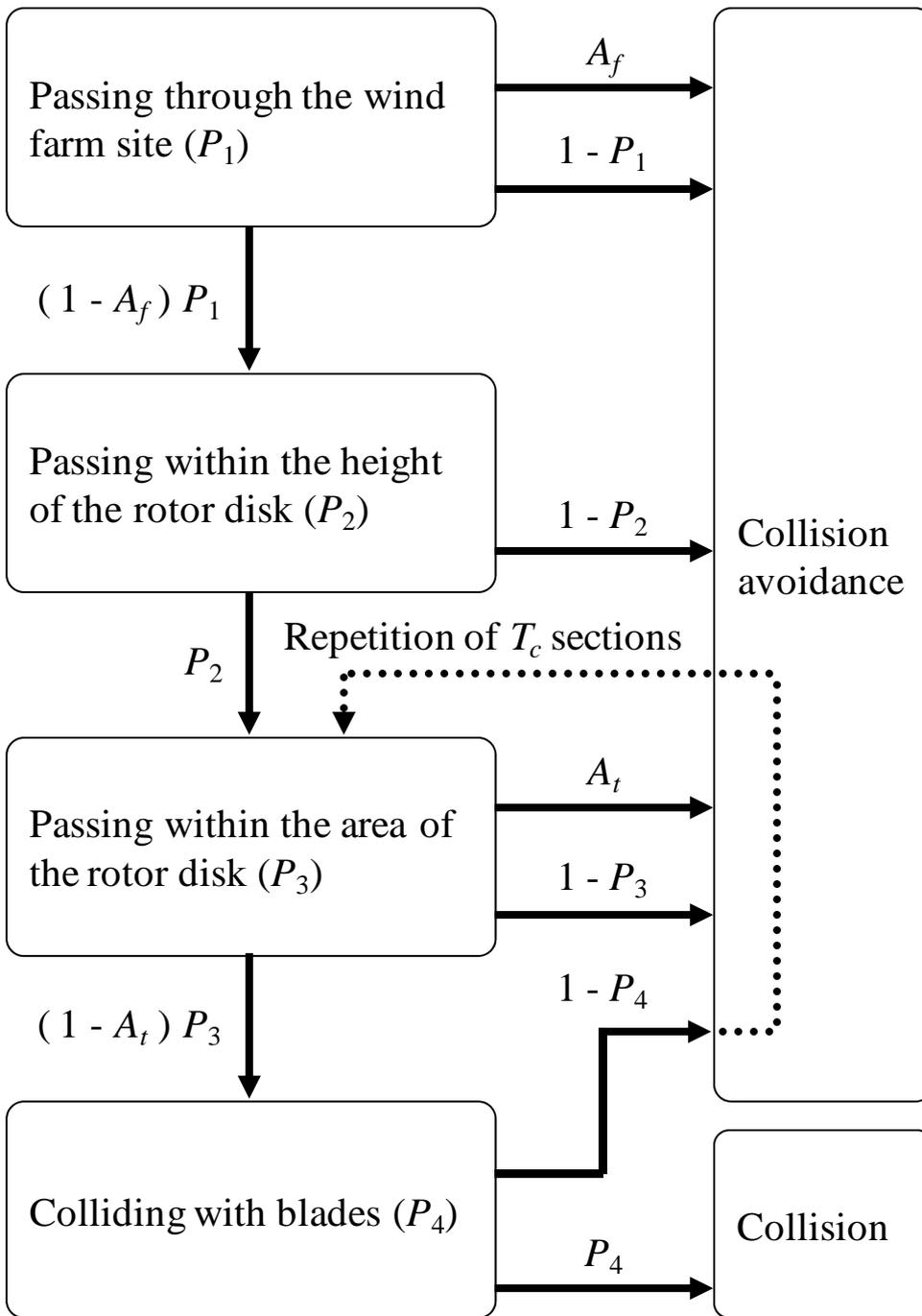


Fig. 4