

## SHIFT AUTOMORPHISM GROUPS OF $C^*$ -ALGEBRAS

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**ABSTRACT.** We study a  $C^*$ -dynamical system  $(A, G, \alpha)$  in which  $G$  is a discrete group acting freely on  $A$  in a strong sense. We show that the enveloping von Neumann algebra  $(A \times G)''$  of the  $C^*$ -crossed product  $A \times G$  of such a system is isomorphic to the  $W^*$ -crossed product  $A'' \times_{\alpha''} G$  where  $\alpha''$  is the bitransposed action of  $G$  on  $A''$ . Consequently,  $A \times G$  is a type I  $C^*$ -algebra if  $A$  is a type I  $C^*$ -algebra.

### 1. Introduction

Let  $G$  be a discrete group with identity  $e$ . A  $C^*$ -dynamical system  $(A, G, \alpha)$  consists of a  $C^*$ -algebra  $A$  and a homomorphism  $\alpha: g \rightarrow \alpha_g$  from  $G$  into the group  $\text{Aut}(A)$  of  $*$ -automorphisms of  $A$ . In [3], the author formulated the definition of a strongly centrally free action  $\alpha$  in a  $C^*$ -dynamical system  $(A, G, \alpha)$ . We show in section 2 that this freeness condition coincides with the notion of shift automorphisms introduced by Choda in [2]. Moreover, it is shown that the shift automorphisms are analogous to the completely dissipative transformations in ergodic theory. In fact, if we consider  $G$  acting on the state space  $S$  of  $A$  in a natural way, then  $G$  acts strongly centrally freely on  $A$  if and only if there is a *wandering* split face of  $S$  which 'generates'  $S$ . Also, similar to the *Hopf decomposition* (cf. [4; p. 48]), for any group  $G$  acting on  $A$ , its state space  $S$  is decomposed into a convex sum of two  $G$ -invariant split faces  $F_c$  and  $F_d$  where  $F_c$  contains no wandering split face ( $G$  is conservative on  $F_c$ ) and  $F_d$  is either empty or is 'generated' by a wandering split face ( $G$  is completely dissipative on  $F_d$ ). In section 3, we show that if  $G$  acts strongly centrally freely in  $(A, G, \alpha)$ , then the enveloping von Neumann algebra  $(A \times G)''$  of the  $C^*$ -crossed product  $A \times G$  is isomorphic to the  $W^*$ -crossed product  $A'' \times_{\alpha''} G$  where  $\alpha''$  is the bitransposed action of  $G$  on the enveloping von Neumann algebra  $A''$  of  $A$ . Under this condition,  $A \times G$  is a type I  $C^*$ -algebra if  $A$  is a type I  $C^*$ -algebra. The question of type I-ness of the crossed products in other circumstances has also been considered by Rieffel [9], Takesaki [10] and Zeller-Meier [11].

## 2. Central shifts

In the sequel,  $G$  will denote a discrete group unless otherwise stated. Let  $(A, G, \alpha)$  be a  $C^*$ -dynamical system and let  $S$  be the state space of  $A$ . For each  $g \in G$ , the transpose  $\alpha_g'$  of the automorphism  $\alpha_g: A \rightarrow A$  induces an affine homeomorphism from  $S$  onto itself which will also be denoted by  $\alpha_g'$ . A (nonempty) subset  $W$  of  $S$  is called  $G$ -wandering if  $\alpha_g'(W) \cap W = \emptyset$  for each  $g \neq e$ . Let  $W^* = \overline{\text{co}} \bigcup_{g \in G} \alpha_g'(W)$  where  $\overline{\text{co}}$  denotes the norm-closed convex hull. Let  $A''$  be the universal enveloping von Neumann algebra of  $A$  which is identified with the bidual  $A^{**}$  of  $A$ . The action  $\alpha: g \rightarrow \alpha_g$  also induces the bitransposed action  $\alpha'': g \rightarrow \alpha_g'' \in \text{Aut}(A'')$  and  $(A'', G, \alpha'')$  becomes a  $W^*$ -dynamical system. We say that  $G$  acts strongly centrally freely on  $A$  [3] if there is a family  $\{p_j\}$  of mutually orthogonal central projections in  $A''$  such that  $\sum p_j = 1$  and  $\alpha_g''(p_j)p_j = 0$  for each  $j$  and  $g \neq e$ .

We refer to [1] for the definition of a *split face* of a convex set and various properties of these faces in  $C^*$ -algebras.

**Proposition 1.** *Let  $(A, G, \alpha)$  be a  $C^*$ -dynamical system. Then the following conditions are equivalent:*

- (i)  $G$  acts strongly centrally freely;
- (ii)  $G$  is a central shift [2] in  $(A'', G, \alpha'')$ , that is, there is a central projection  $p \in A''$  such that  $\sum_{g \in G} \alpha_g''(p) = 1$  and  $\alpha_g''(p)p = 0$  for  $g \neq e$ ;
- (iii)  $S$  admits a  $G$ -wandering split face  $F$  such that  $S = \overline{\text{co}} \bigcup_{g \in G} \alpha_g'(F)$ .

In the above situation, we call  $G$  a *central shift* in  $(A, G, \alpha)$  by abuse of language.

**Proof.** Since the central projections in  $A''$  are in one-one correspondence with the split faces of  $S$  (cf. [1]), the equivalence of (ii) and (iii) is evident. We only need to prove (i)  $\Rightarrow$  (ii). Let  $\mathcal{F} = \{p \in A'' : p \text{ is a central projection and } \alpha_g''(p)p = 0 \forall g \neq e\}$  with the usual ordering of projections. Then  $\mathcal{F} \neq \emptyset$ . Suppose  $\mathcal{C}$  is a totally ordered subset of  $\mathcal{F}$ . Let  $q = \sup\{p : p \in \mathcal{C}\}$ . Then for  $g \neq e$ , we have  $\alpha_g''(q) = \sup\{\alpha_g''(p) : p \in \mathcal{C}\}$  and if  $p_1, p_2 \in \mathcal{C}$  with  $p_1 \leq p_2$  say, then  $\alpha_g''(p_2)p_1 \leq \alpha_g''(p_2)p_2 = 0$ . It follows that  $\alpha_g''(q) = 0$  and  $q \in \mathcal{F}$ . By Zorn's lemma, there is a maximal element  $p$  in  $\mathcal{F}$ . A routine argument using (i) and the maximality of  $p$  shows that  $\sum_{g \in G} \alpha_g''(p) = 1$  which completes the proof.

We note that if  $G$  is a central shift in  $(A, G, \alpha)$  and if  $A$  has a  $G$ -invariant state, then  $G$  must be finite. Also we remark that in a (discrete)  $W^*$ -dynamical system  $(M, G, \beta)$ ,  $G$  is a shift if and only if  $\beta$  is a 'dual' action in the sense of

Nakagami (cf. [7; Theorem II. 2.4]). Hence the investigation of shift automorphisms may be of some interest.

A maximality argument similar to the above proof yields the following result.

**Proposition 2.** *Let  $(A, G, \alpha)$  be a C\*-dynamical system. Then there is a G-invariant central projection  $p$  in  $A''$  such that  $G$  is a central shift in  $(Ap, G, \alpha|_{Ap})$  and  $G$  is not a central shift in  $(Aq, G, \alpha|_{Aq})$  for any G-invariant central projection  $q \leq 1-p$ .*

The above result can be expressed in terms of split faces, analogous to the Hopf decomposition in ergodic theory, as follows.

**Corollary 3.** *Let  $(A, G, \alpha)$  be a C\*-dynamical system and let  $S$  be the state space of  $A$ . Then there are G-invariant split faces  $F_c$  and  $F_a$  of  $S$  such that*

- (i)  $S = \text{co}(F_c \cup F_a)$  where  $F_a$  is the complementary face of  $F_c$ ;
- (ii)  $F_c$  contains no G-wandering split face of  $S$ ;
- (iii)  $F_a = \emptyset$  or  $F_a = W^*$  for some G-wandering split face  $W$  of  $S$ .

**Example 1.** Any discrete group  $G$  acts as a central shift in some C\*-dynamical system. In fact, let  $C_0(G)$  be the C\*-algebra of continuous functions on  $G$  vanishing at infinity and let  $\tau: G \rightarrow \text{Aut}(C_0(G))$  be the translation

$$(\tau_s f)(t) = f(s^{-1}t)$$

where  $f \in C_0(G)$  and  $s, t \in G$ . Then  $G$  is a central shift in  $(C_0(G), G, \tau)$ .

We note that if  $G$  is a central shift in  $(A, G, \alpha)$ , then  $G$  acts centrally freely in the sense of Zeller-Meier [11], that is, for  $g \neq e$ ,  $\alpha_g''$  acts freely on the centre  $A''$  as in [6]. The converse is true if  $G$  is finite (cf. [3]) and false in general if  $G$  is infinite (cf. Example 2).

A C\*-algebra  $A$  is scattered [3] if  $A''$  is the direct sum of type I factors. If  $A$  is a separable commutative scattered C\*-algebra, then  $A'' = l_\infty$  and obviously there is a natural central shift acting on  $A''$ . On the other hand, there is no nontrivial central shift acting on the compact operators  $K(H)$ .

**Remark.** Note that if  $G$  acts centrally freely in  $(A, G, \alpha)$  where  $A$  is a scattered C\*-algebra, then  $G$  is a central shift. Indeed, if  $A''$  is the full operator algebra  $B(H)$ , then trivially  $G = \{e\}$ . Otherwise there is a family  $\{p_j\}$  of nontrivial minimal central projections in  $A''$  such that  $\sum p_j = 1$ . Then for each  $j$  and  $g \neq e$ , we have either  $\alpha_g''(p_j) = p_j$  or  $\alpha_g''(p_j)p_j = 0$  by minimality. Since  $\alpha_g''$  is free, we must have  $\alpha_g''(p_j)p_j = 0$ . So  $G$  is a central shift. Further, if  $G$  acts on a nonscattered C\*-algebra  $B$  with action  $\beta$ , then the C\*-tensor product  $A \otimes B$

not scattered by [3; Proposition 1] and  $G$  is a central shift in  $(A \otimes B, G, \alpha \otimes \beta)$  where  $(A \otimes B)''$  is isomorphic to the  $W^*$ -tensor product  $A'' \bar{\otimes} B''$  since  $A$  is scattered (cf. [5]). So there exist central shifts on nonscattered  $C^*$ -algebras.

### 3. Crossed products

Recall that the crossed product  $A \times G$  of  $(A, G, \alpha)$ , for discrete  $G$ , is the enveloping  $C^*$ -algebra of the  $*$ -algebra  $k(G, A)$  of  $A$ -valued functions on  $G$  with finite support, where the  $*$ -algebraic structure of  $k(G, A)$  is defined as follows:

$$\begin{aligned}(fh)(s) &= \sum_{t \in G} f(t) \alpha_t(h(t^{-1}s)) \\ f^*(s) &= \alpha_s(f(s^{-1}))^*\end{aligned}$$

where  $f, g \in k(G, A)$  and  $s \in G$  (cf. [8]). We shall identify  $A$  as a subalgebra of  $A \times G$  via the embedding  $a \mapsto f_a \in k(G, A)$  where  $f_a(e) = a$  and  $f_a(t) = 0$  for  $t \neq e$ .

**Proposition 4.** *If  $G$  acts centrally freely in  $(A, G, \alpha)$ , then  $A \times G$  is scattered if (and only if)  $A$  is scattered.*

This follows immediately from [3; Theorem 5] since we have remarked before that if  $G$  acts centrally freely on a scattered  $C^*$ -algebra, then  $G$  is in fact a central shift.

Given a  $C^*$ -dynamical system  $(A, G, \alpha)$  and the universal representation  $\pi_u: A \rightarrow B(H_u)$  of  $A$ , we define the covariant representations  $\tilde{\pi}_u: A \rightarrow B(l_2(G, H_u))$  and  $\lambda: G \rightarrow B(l_2(G, H_u))$  by

$$\begin{aligned}(\tilde{\pi}_u(a)\xi)(t) &= \pi_u(\alpha_{t^{-1}}(a))\xi(t) \\ (\lambda_s\xi)(t) &= \xi(s^{-1}t)\end{aligned}$$

for  $a \in A$ ,  $s, t \in G$  and  $\xi \in l_2(G, H_u)$  (cf. [8]). Further we define the induced regular representation  $\tilde{\pi}_u \times \lambda: A \times G \rightarrow B(l_2(G, H_u))$  by

$$(3.1) \quad ((\tilde{\pi}_u \times \lambda)f)\xi = \sum_{s \in G} \pi_u(\alpha_{t^{-1}}(f(s)))\xi(s^{-1}t) \quad (f \in k(G, A)).$$

If we identify  $A$  as a subalgebra of  $A \times G$  via  $a \mapsto f_a$  as aforementioned, then we have, for  $a \in A$ ,

$$(3.2) \quad (((\tilde{\pi}_u \times \lambda)a)\xi)(t) = \sum_{s \in G} \pi_u(\alpha_{t^{-1}}(f_a(s)))\xi(s^{-1}t) = \pi_u(\alpha_{t^{-1}}(a))\xi(t).$$

We now define the  $W^*$ -crossed product  $A'' \times G$  of the  $W^*$ -dynamical system  $(A'', G, \alpha'')$  where  $A''$  is the  $\sigma$ -weak closure of  $\pi_u(A) \subset B(H_u)$  and  $\alpha'': G \rightarrow \text{Aut}(A'')$  is the bitransposed action such that  $\alpha_g''(\pi_u(a)) = \pi_u(\alpha_g(a))$  for  $a \in A$  and  $g \in G$ . As

usual, we define the faithful normal \*-representation  $\iota: A'' \rightarrow B(l_2(G, H_u))$  by

$$(\iota(m)\xi)(t) = \alpha'_{t-1}(m)\xi(t)$$

for  $m \in A''$ ,  $\xi \in l_2(G, H_u)$  and  $t \in G$ . The  $W^*$ -crossed product  $A'' \times_{\alpha'} G$  is the von Neumann algebra in  $B(l_2(G, H_u))$  generated by  $\iota(A'') \cup \lambda(G)$  and it is not difficult to see from (3.1) and (3.2) above that  $A'' \times_{\alpha'} G$  is just the  $\sigma$ -weak closure of  $(\tilde{\pi}_u \times \lambda)(A \times G)$  with  $(\tilde{\pi}_u \times \lambda)(a) = \iota(\pi_u(a))$  for  $a \in A$ .

Now let  $\pi: A \times G \rightarrow B(H)$  be the universal representation of  $A \times G$  so that  $(A \times G)''$  is the  $\sigma$ -weak closure of  $\pi(A \times G)$  in  $B(H)$ . The by [8; 3.7.9] and as in [3], there is a \*-isomorphism  $\tilde{\rho}$  of  $A''$  into  $(A \times G)''$  such that  $\tilde{\rho}(\pi_u(a)) = \pi(a)$  for each  $a \in A$ . Let  $\tau: (A \times G)'' \rightarrow B(l_2(G, H_u))$  be the  $\sigma$ -weakly continuous extension of  $\tilde{\pi}_u \times \lambda: A \times G \rightarrow B(l_2(G, H_u))$  so that  $\tau((A \times G)'')$  is the  $\sigma$ -weak closure of  $(\tilde{\pi}_u \times \lambda)(A \times G)$  in  $B(l_2(G, H_u))$ .

**Lemma 5.** *We have the the following commutative diagram*

$$\begin{array}{ccc}
 A \subset A \times G & \xrightarrow{\tilde{\pi}_u \times \lambda} & B(l_2(G, H_u)) \\
 \searrow \pi_u & & \nearrow \tau \\
 & \xrightarrow{\tilde{\rho}} & (A \times G)'' \\
 \swarrow \pi & & \nearrow \tau
 \end{array}$$

in which  $\tau(\tilde{\rho}(m)) = \iota(m)$  for each  $m \in A''$ .

**Proof.** Since  $\pi_u(A)$  is  $\sigma$ -weakly dense in  $A''$  and since  $\tau$ ,  $\tilde{\rho}$  and  $\iota$  are  $\sigma$ -weakly continuous, it suffices to show that  $\tau(\tilde{\rho}(\pi_u(a))) = \iota(\pi_u(a))$  for  $a \in A$ . Indeed, we have  $\tau(\tilde{\rho}(\pi_u(a))) = \tau(\pi(a)) = (\tilde{\pi}_u \times \lambda)(a) = \iota(\pi_u(a))$  for  $a \in A$ .

**Theorem 6.** *If  $G$  is a central shift in  $(A, G, \alpha)$ , then  $(A \times G)''$  is \*-isomorphic to  $A'' \times_{\alpha'} G$ .*

**Proof.** We need only prove that the  $\sigma$ -weakly continuous \*-epimorphism  $\tau: (A \times G)'' \rightarrow A'' \times_{\alpha'} G$  in Lemma 5 is faithful. Let  $z \in (A \times G)''$  be a central projection such that  $\ker \tau = (A \times G)'' z$ . By the 'relative commutant property' of  $A''$  proved in [3; Proposition 4], we have  $z = \tilde{\rho}(m)$  for some  $m$  in  $A''$ . Now  $\iota(m) = \tau(\tilde{\rho}(m)) = \tau(z) = 0$  implies that  $m = 0$  as  $\iota$  is faithful. Therefore  $z = 0$  and  $\tau$  is faithful.

**Remark.** The above conclusion is not true in general as the faithfulness of  $\tau$  in Lemma 5 would imply that  $A \times G$  is isomorphic to the reduced C\*-crossed product  $(\tilde{\pi}_u \times \lambda)(A \times G)$ .

We have the following immediate consequences.

**Corollary 7.** *If  $G$  is a central shift in  $(A, G, \alpha)$ , then  $(A \times G)''$  is \*-isomorphic to  $M \otimes B(l_2(\alpha''(G)))$  where  $M = \{m \in A'' : \alpha_t''(m) = m \forall t \in G\}$  is the fixed-point algebra under  $G$ .*

**Proof.** By [2; Theorem 2],  $A'' \times_{\alpha''} G$  is isomorphic to  $M \otimes B(l_2(\alpha''(G)))$ .

**Theorem 8.** *If  $G$  is a central shift in  $(A, G, \alpha)$ , then  $A \times_{\alpha} G$  is a type I C\*-algebra if (and only if)  $A$  is a type I C\*-algebra.*

**Proof.** Since  $A''$  is a type I von Neumann algebra, by [2; Theorem 7], the  $W^*$ -crossed product  $A'' \times G$  is a type I von Neumann algebra and so is  $(A \times G)''$ . Hence  $A \times_{\alpha} G$  is a type I C\*-algebra.

In the above theorem, if both  $A$  and  $G$  are separable, then the type I-ness of  $A \times G$  that implies the action of  $G$  induces a *smooth* action on  $\hat{A}$  as in [10; Theorem 8.1]. It follows that separable central shifts on separable type I algebras induce smooth actions. It would be of interest to obtain a direct proof of this fact which would then give an alternative proof of Theorem 8 in the separable case since it has been shown in [10, 11] that free and smooth action of a separable group on a separable type I algebra give rise to type I crossed product.

Rieffel [9] has shown that if  $G$  is finite in any C\*-dynamical system  $(A, G, \alpha)$  in which  $A$  is a type I C\*-algebra, then  $A \times G$  is also a type I C\*-algebra.

In contrast to Proposition 4, the condition in Theorem 8 can not be weakened to a centrally free action as the following example shows.

**Example 2.** Let  $T = \{e^{it} : t \in \mathbf{R}\}$  be the unit circle and  $C(T)$  the C\*-algebra of continuous functions on  $T$ . Consider the rational rotations  $\alpha: \mathbf{Q} \rightarrow \text{Aut}(C(T))$  (modulo  $2\pi$ ):

$$(\alpha_r f)(e^{it}) = f(e^{i(t+r)}) \quad (f \in C(T)).$$

Then  $\mathbf{Q}$  acts on  $T$  naturally and it is evident that the stabilizer of each point in  $T$  reduces to  $\{0\}$ . So  $\mathbf{Q}$  acts centrally freely in  $(C(T), \mathbf{Q}, \alpha)$  [11; 1.18]. Also it is easily seen that the orbit of  $\mathbf{Q}$  at each point in  $T$  is dense in  $T$ . So  $C(T) \times \mathbf{Q}$  is not a type I C\*-algebra by [11; Théorème 7.7].

Finally we remark that if  $G$  is finite or compact in a C\*-dynamical system  $(A, G, \alpha)$ , then by a result of Rieffel [9],  $A \times G$  is isomorphic to the fixed-point algebra  $(A \otimes K(L_2(G)))^{\alpha \otimes \gamma}$  where  $\gamma$  is the action of  $G$  on the compact operators  $K(L_2(G))$  by conjugation by the action of right translation on  $L_2(G)$ . Therefore if  $A$  is scattered (or type I), then so is  $A \times G$  since the scatteredness is preserved under C\*-tensor product and is hereditary [3]. This answers a question in [3; Remark 3].

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